

SISCone

A Seedless Infrared-Safe Cone jet algorithm

Grégory Soyez

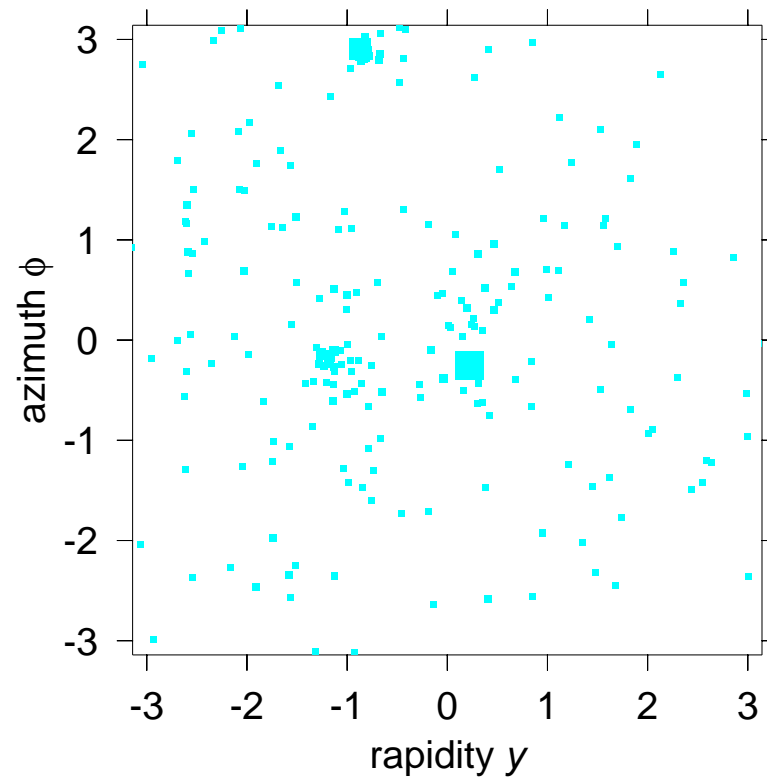
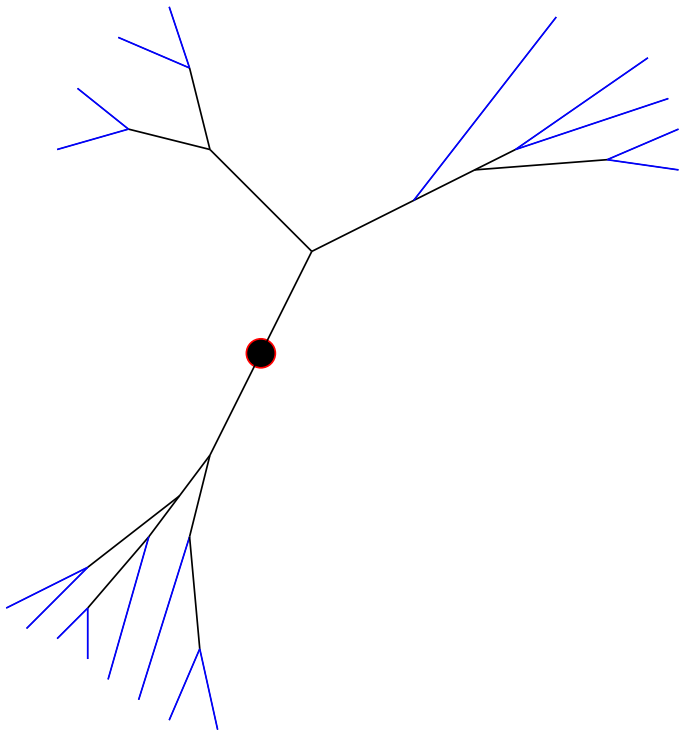
University of Liège

- In collaboration with **Gavin Salam**
- paper available as JHEP 05 (2007) 086 [arXiv:0704.0292]
- code available at <http://projects.hepforge.org/siscone>
FastJet plugin: <http://www.lpthe.jussieu.fr/~salam/fastjet>

- Cone jet algorithms
- Infrared-Safety issues:
 - Why is this mandatory ?
 - IR unsafety of the midpoint algorithm
- SIScone: a practical solution
- Physical consequences:
 - Algorithm speed
 - Inclusive jet spectrum
 - Jet mass spectrum in multi-jet events
- Conclusions

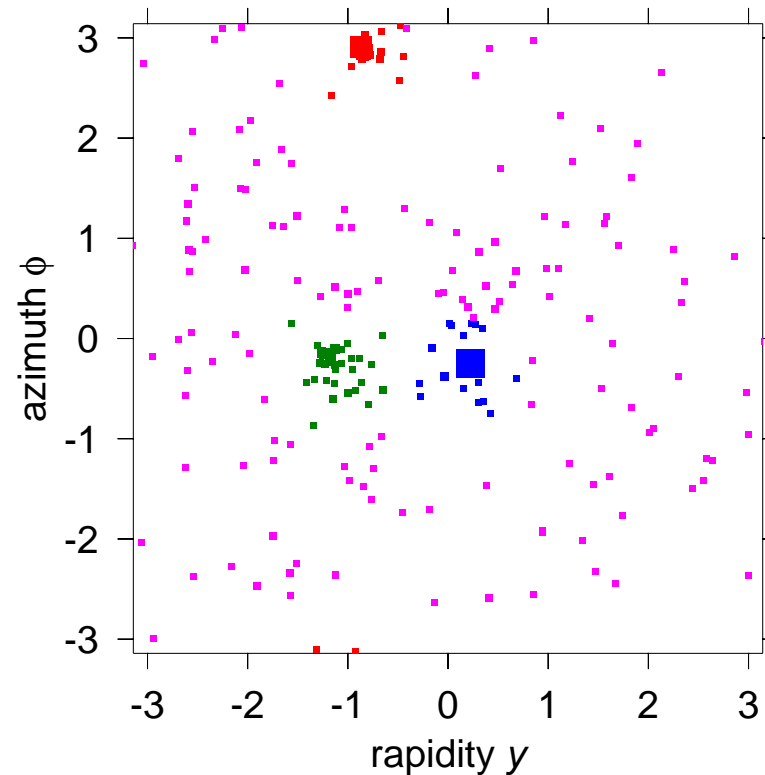
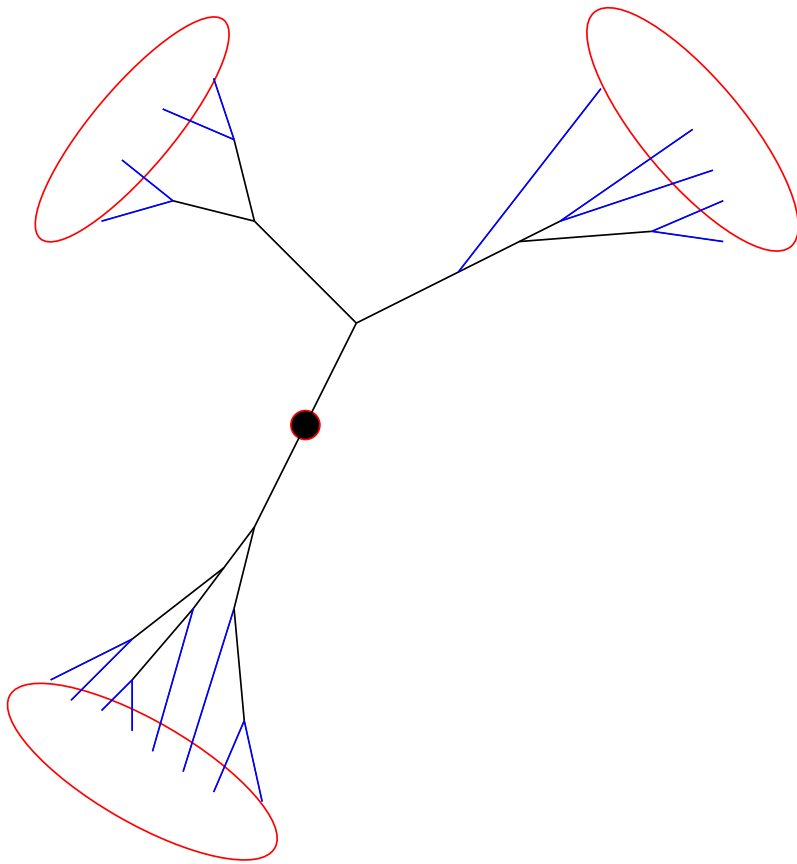
Why jet algorithms?

- Given: set of N particles with their 4-momentum



Why jet algorithms?

- Given: set of N particles with their 4-momentum
- Quest: clustering those particles into jets

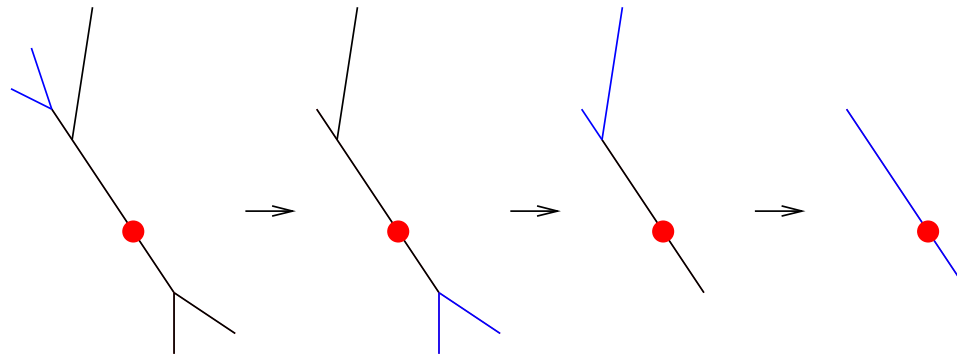


⇒ understand the original particle-level process

Two classes of algorithms

Class 1: recombination

Successive recombinations of the “closest” pair of particle



- Distance:

$$\underline{k_t}: \quad d_{i,j} = \min(k_{t,i}^2, k_{t,j}^2)(\Delta\phi_{i,j}^2 + \Delta y_{i,j}^2)$$

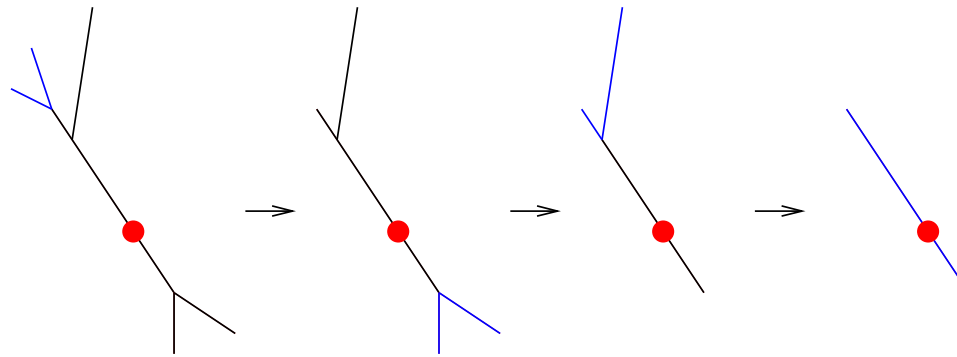
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- stop when $d_{\min} > R$

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- stop when $d_{\min} > R$

- Often used for $e^\pm e^\pm$ or $e^\pm p$

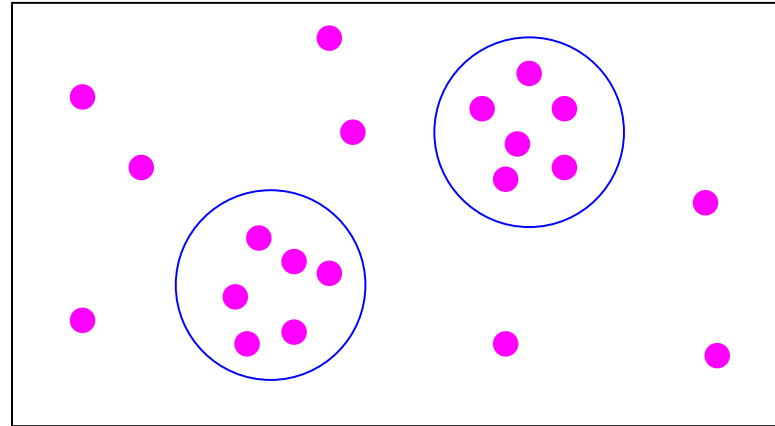
- FastJet : a fast implementation of those algorithms

www.lpthe.jussieu.fr/~salam/fastjet/ (M. Cacciari, G. Salam)

Two classes of algorithms

Class 2: cone

Find directions of dominant energy flow

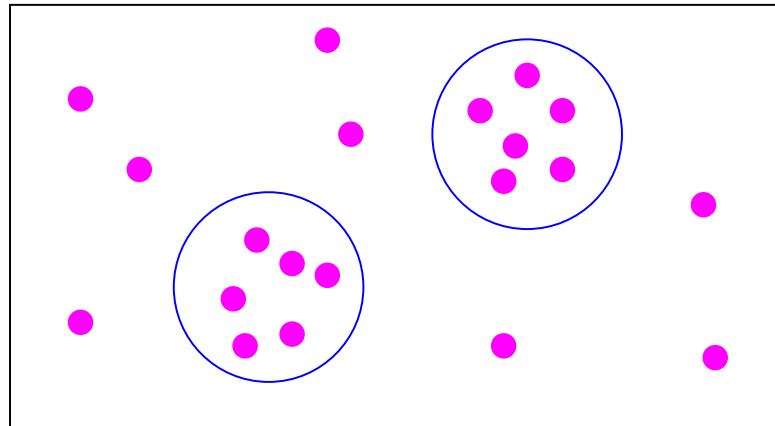


for a cone of radius R in the (y, ϕ) plane, stable cones are such that:
centre of the cone \equiv direction of the total momentum of its particle contents

Two classes of algorithms

Class 2: cone

Find directions of dominant energy flow



for a cone of radius R in the (y, ϕ) plane, stable cones are such that:
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- Often used for pp
- Many cone algorithms: Snowmass, JetClu, PxCone, CDF Midpoint, ...
- BUT none satisfies 1990's requirements

- Snowmass Accord (FERMILAB, 1990):
any jet algorithm must satisfy
 1. Can be practically used in experimental analysis
 2. Can be practically used in theoretical computations
 3. Can be defined at any order of the perturbation theory
 4. Yields finite cross-sections at any order
 5. Has a small sensitivity to hadronisation corrections

Cone requirements

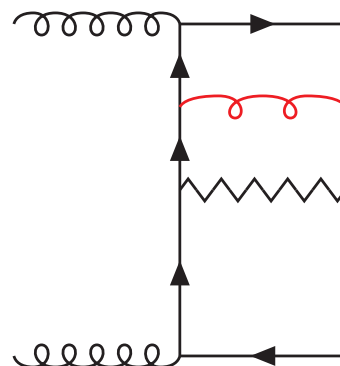
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Cone requirements

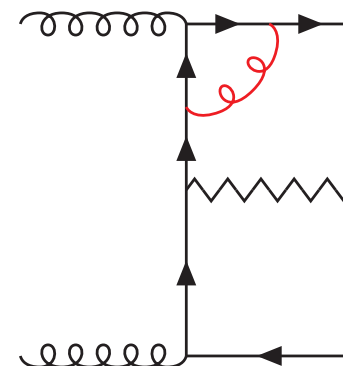
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- Previous algorithms:
 - 1, 2 and 4 never satisfied together
 - 5 is unclear (Underlying event and R_{sep} issues discussed later)
- This talk shows how to satisfy all these.

Ellipsis: IR safety, i.e. stability upon emission of soft particles,
is required for perturbative computations to make sense!

Cancellation of IR divergences
between real and virtual emissions
of SOFT gluons in QCD



NLO, real



NLO, virtual

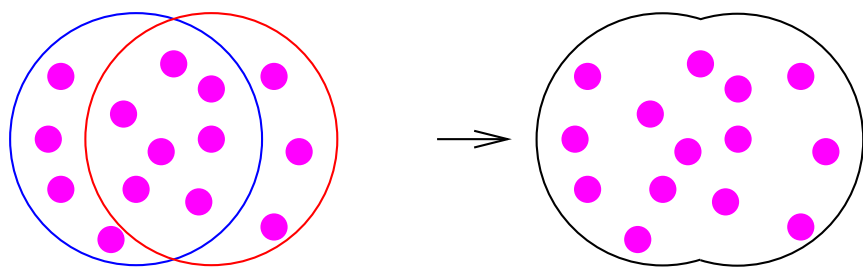
- IF Jet clustering is different in both cases, THEN the cancellation is not done and the result is not consistent with pQCD
 - ⇒ Stable cones must not change upon addition of soft particles
- Note: 100 GeV jet cannot change by adding a 1 GeV particle
This would break parton/hadron correspondence

Modern cone jet algorithm

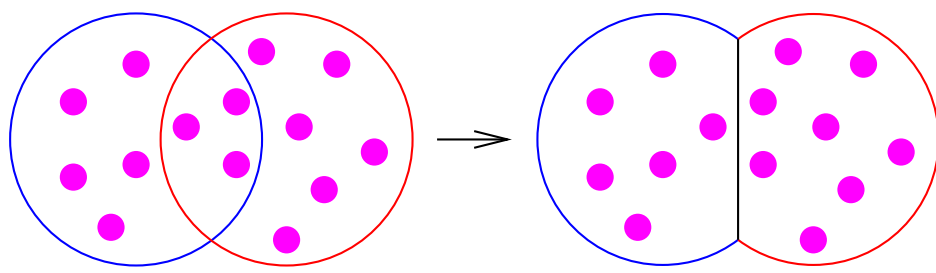
Modern cone jet algorithm (Tevatron Run II type):

- **Step 1:** find **ALL** stable cones of radius R
- **Step 1'**: if some of the particles are not in stable cones, rerun Step 1 with the remaining ones.
- **Step 2:** run a split-merge procedure with overlap f to deal with overlapping stable cones

$$\tilde{p}_{t,\text{shared}} > f\tilde{p}_{t,\text{min}}$$



$$\tilde{p}_{t,\text{shared}} \leq f\tilde{p}_{t,\text{min}}$$



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This talk: **Why** finding **all** stable cones and **how**.

→ C++ implementation: Seedless Infrared-Safe Cone algorithm (SISCone)

Typical cone: Midpoint algorithm

Usual **seeded** method to search stable cones: **midpoint cone algorithm**

- **For an initial seed**

1. sum the momenta of all particles within the cone centred on the seed
2. use the direction of that momentum as new seed
3. repeat 1 & 2 until stable state cone reached

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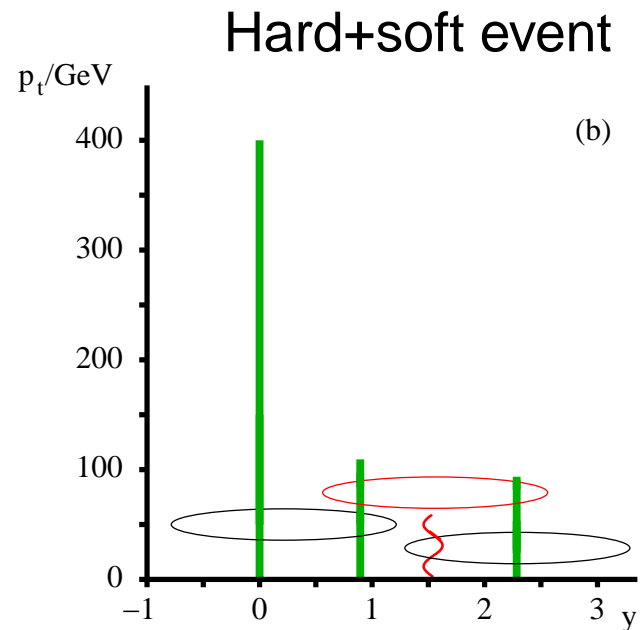
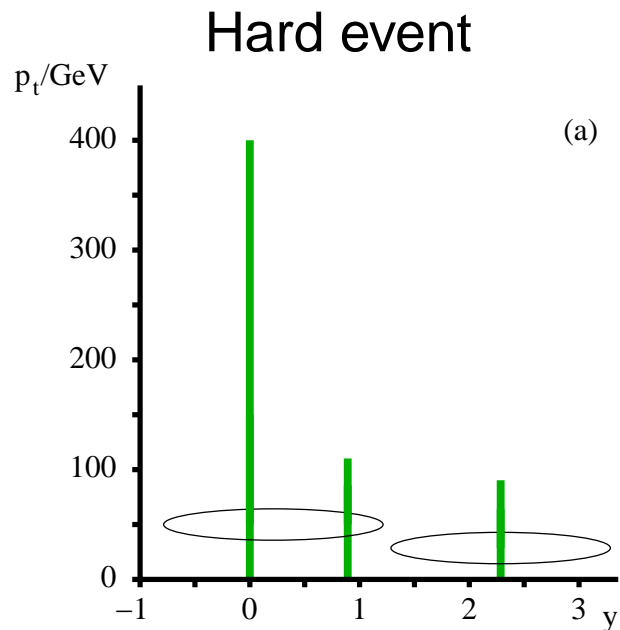
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Problems:

- **the p_t threshold s is collinear unsafe**
- **seeded approach \Rightarrow stable cones missed \Rightarrow infrared unsafety**

Midpoint IR Unsafety



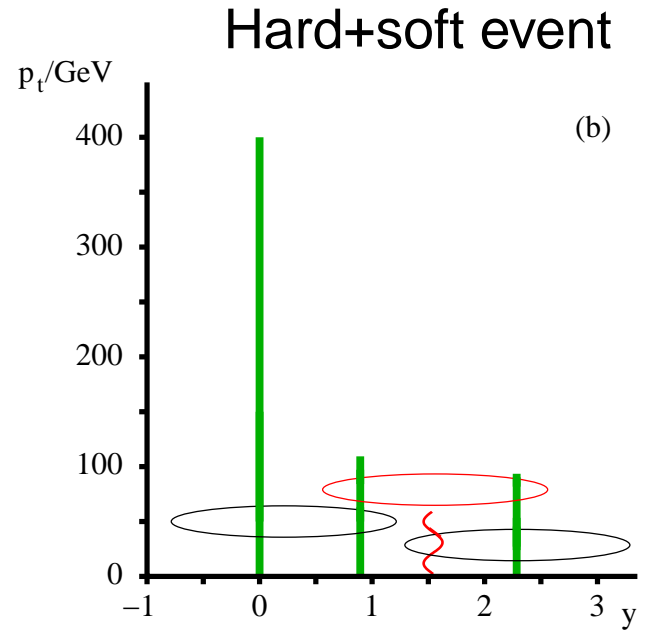
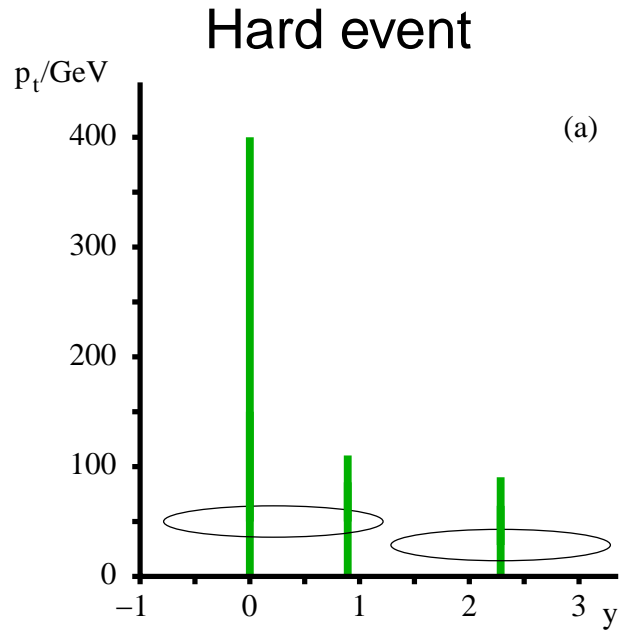
Stable cones:

Midpoint:

$\{1,2\}$ & $\{3\}$

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Midpoint IR Unsafety



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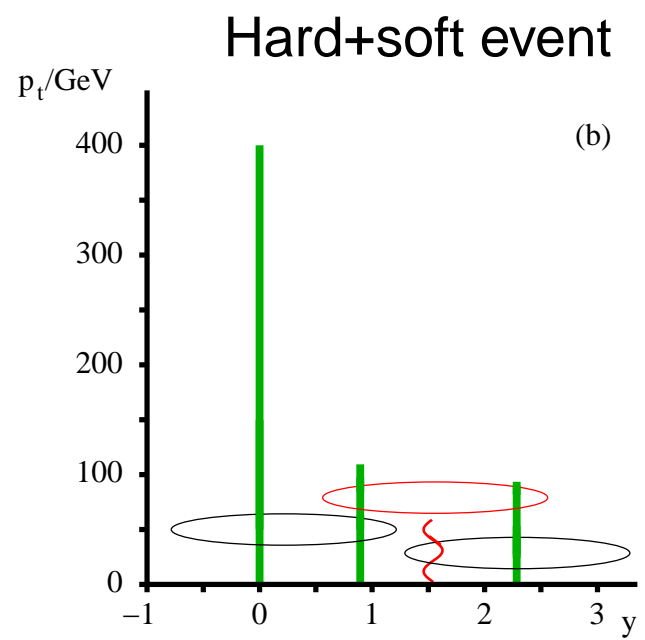
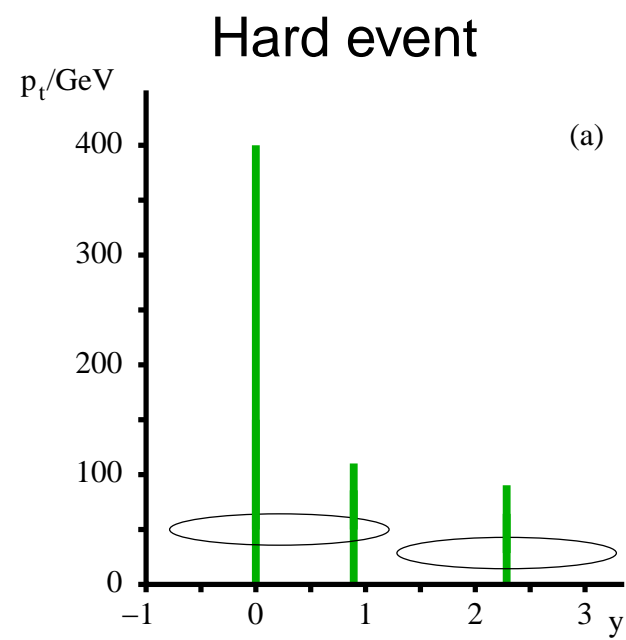
Jets: ($f = 0.5$)

Midpoint: {1,2} & {3}

{1,2,3}

→ IR unsafety of the midpoint algorithm

Midpoint IR Unsafety



Stable cones:

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Seedless: {1,2} & {3} & {2,3}

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is a seedless solution practical?

- Solution: use a seedless approach
- Naive approach: check stability of each subset of particle

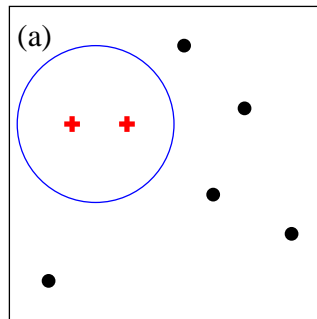
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 \Rightarrow definitely unrealistic: 10^{17} years for $N = 100$

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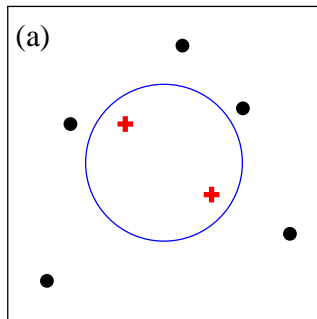
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- Midpoint complexity:
 - For 1 seed: build and check cone content is $\mathcal{O}(N)$
 - initially N seeds $\Rightarrow \mathcal{O}(N)$ stable cones
 $\Rightarrow \mathcal{O}(Nn)$ new, midpoint, seeds
 \Rightarrow midpoint complexity is $\mathcal{O}(N^2n)$
 - with $n \sim N$ the number of points in a circle of radius R
 - Note: the number of stable cones is $\mathcal{O}(N)$

Idea: use geometric arguments



- Enumerate enclosures and check if they are stable

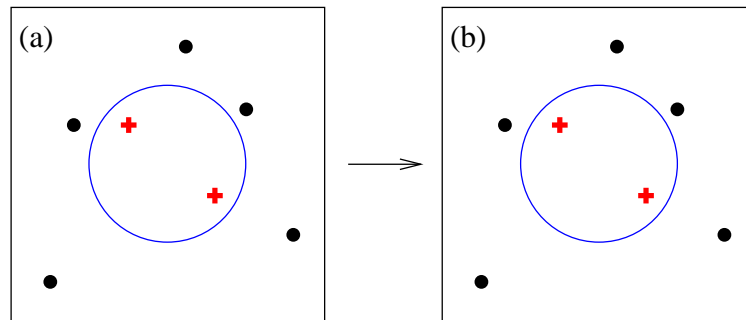
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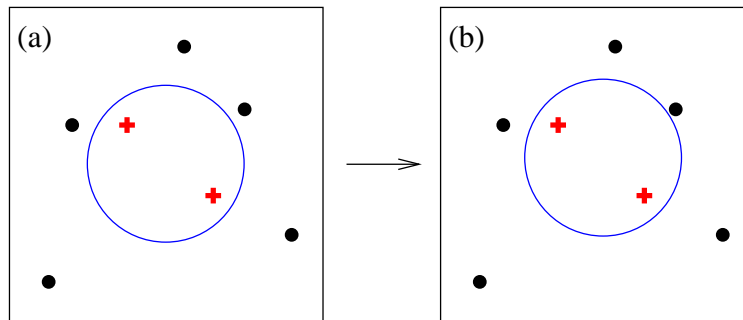
SISCone: seedless solution

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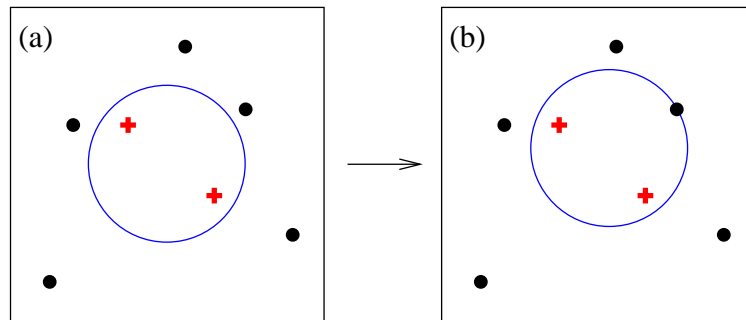
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- Each enclosure can be moved (in any direction) to touch a point

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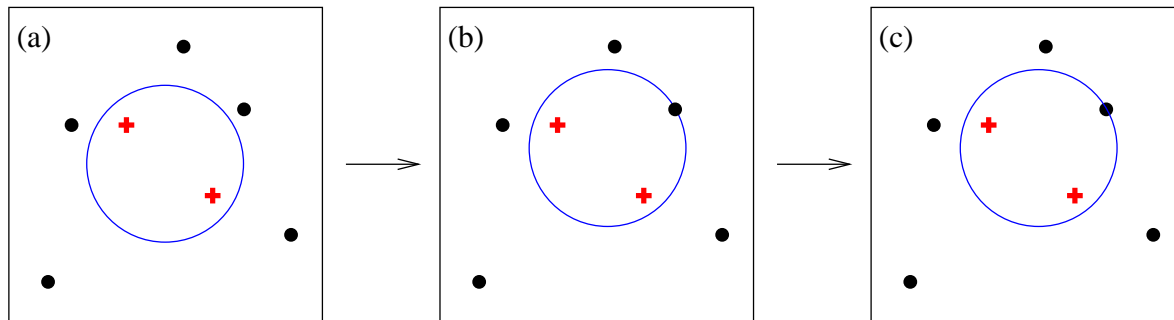
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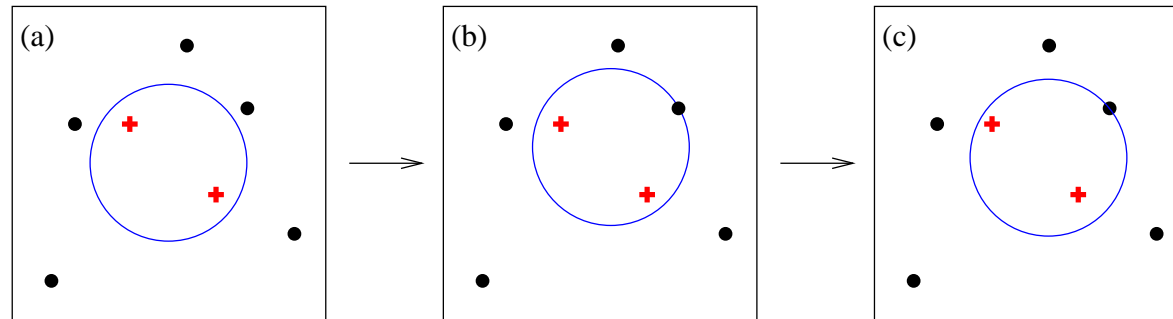
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SISCone: seedless solution

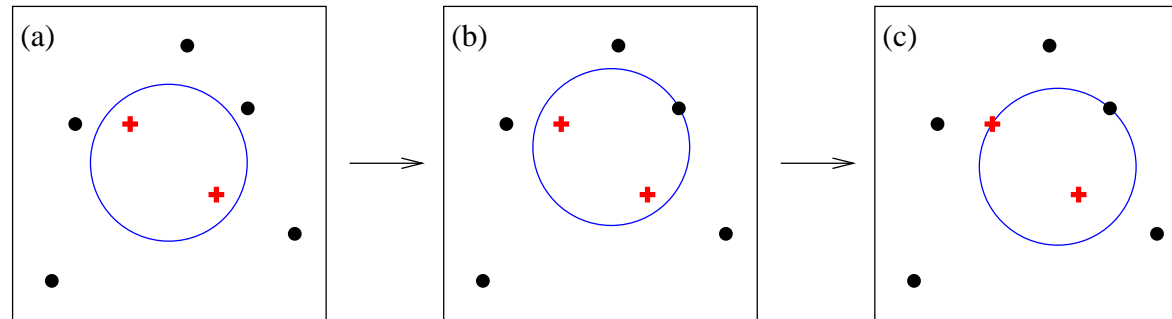
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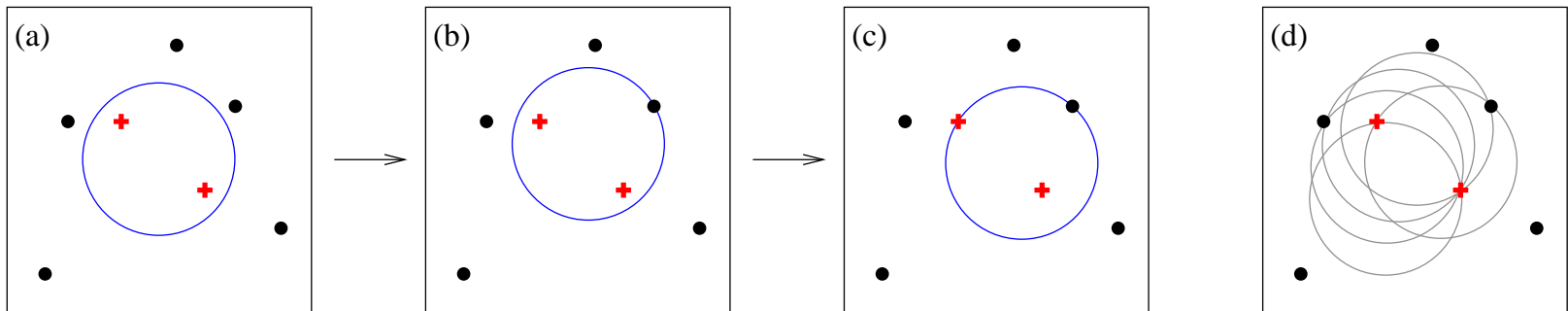
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Complexity?

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⇒ $\mathcal{O}(N^2n)$

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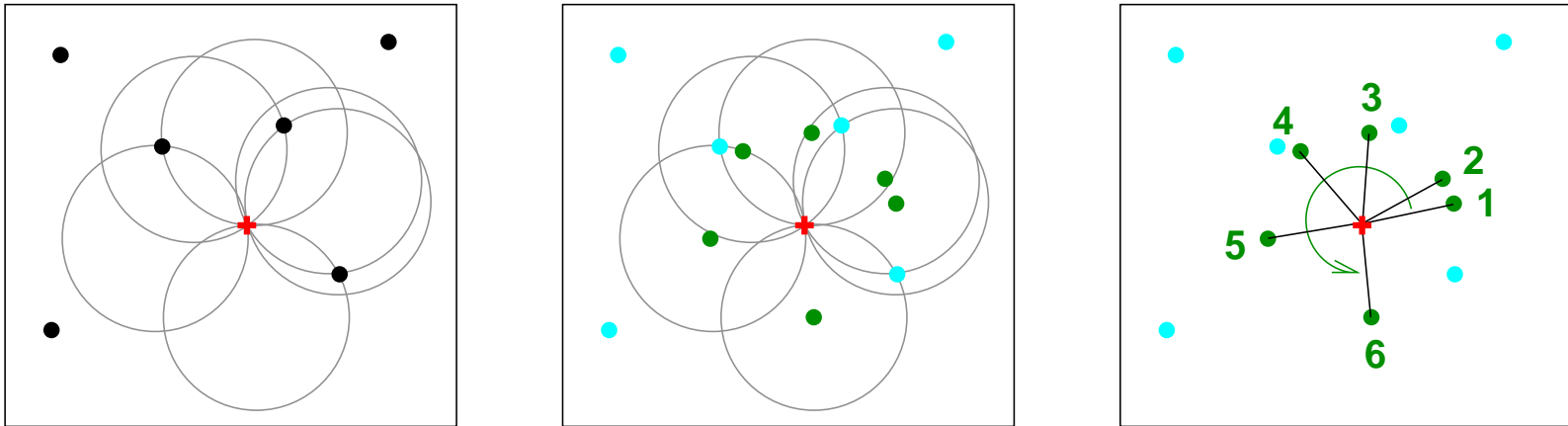
Same as midpoint... but we'll use more tricks:

- avoid systematic recomputation of cone contents
- limit complete tests of cone stability

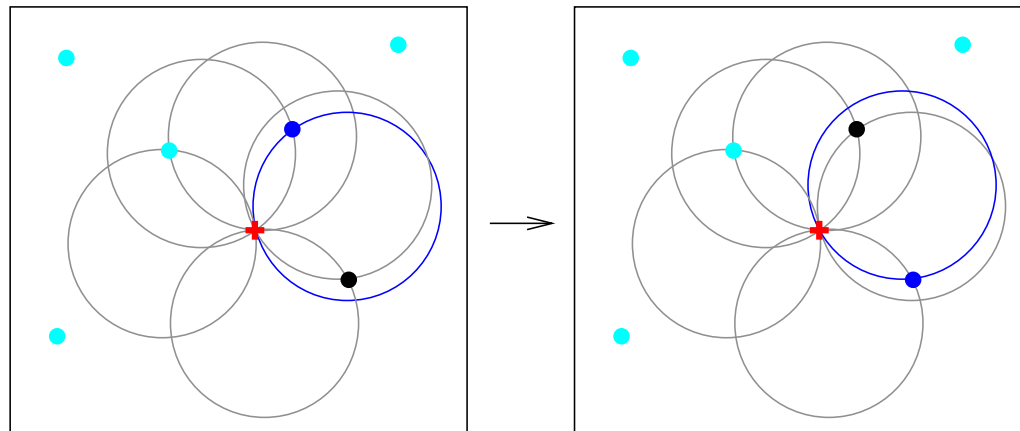
SISCone: seedless solution

Tricks:

- For all enclosures around a particle, introduce a **traversal order**



From one cone to the next one, contents only changed by “border” particles



⇒ avoids recomputing the cone contents at each step

SISCone: seedless solution

Tricks:

- For all enclosures around a particle, introduce a **traversal order**
⇒ avoids recomputing the cone contents at each step
- Label the particles using a **q -bit tag**
⇒ checkxor to identify distinct cones
Introduces a potential “collision” problem

$$q = 96 \quad \Rightarrow \quad P(\text{collision}) = 10^{-18}$$

Tricks:

- For all enclosures around a particle, introduce a **traversal order**
⇒ avoids recomputing the cone contents at each step
- Label the particles using a **q -bit tag**
⇒ checkxor to identify distinct cones
- Only **test “border particles” for stability (cost $\mathcal{O}(1)$)**
⇒ limits the number of full stability test to $\mathcal{O}(N)$
checkxor → keep trace of stability tests

The SIScone algorithm for stable-cone search

How to efficiently determine all stable cones:

- For each particle i
 - get “partners” and associated cone centres
 - order them by angle
 - for all those candidates cones
 - check stability w.r.t. border particles
 - 4 possible \in or \notin & keep track of tested cones
 - move to the next cone
- Full stability test for the $\mathcal{O}(N)$ not-yet-unstable candidates

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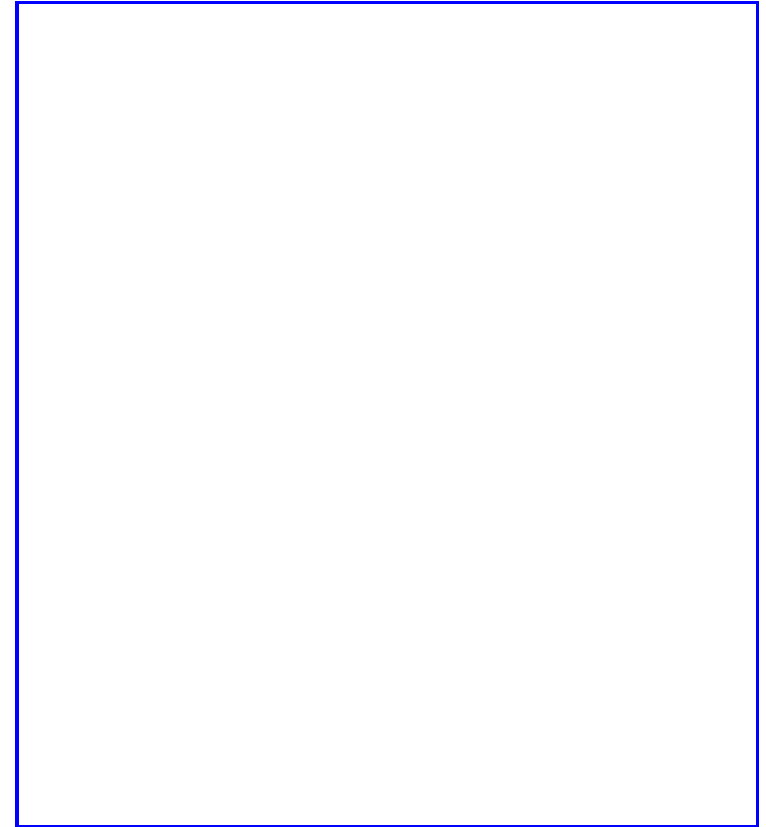
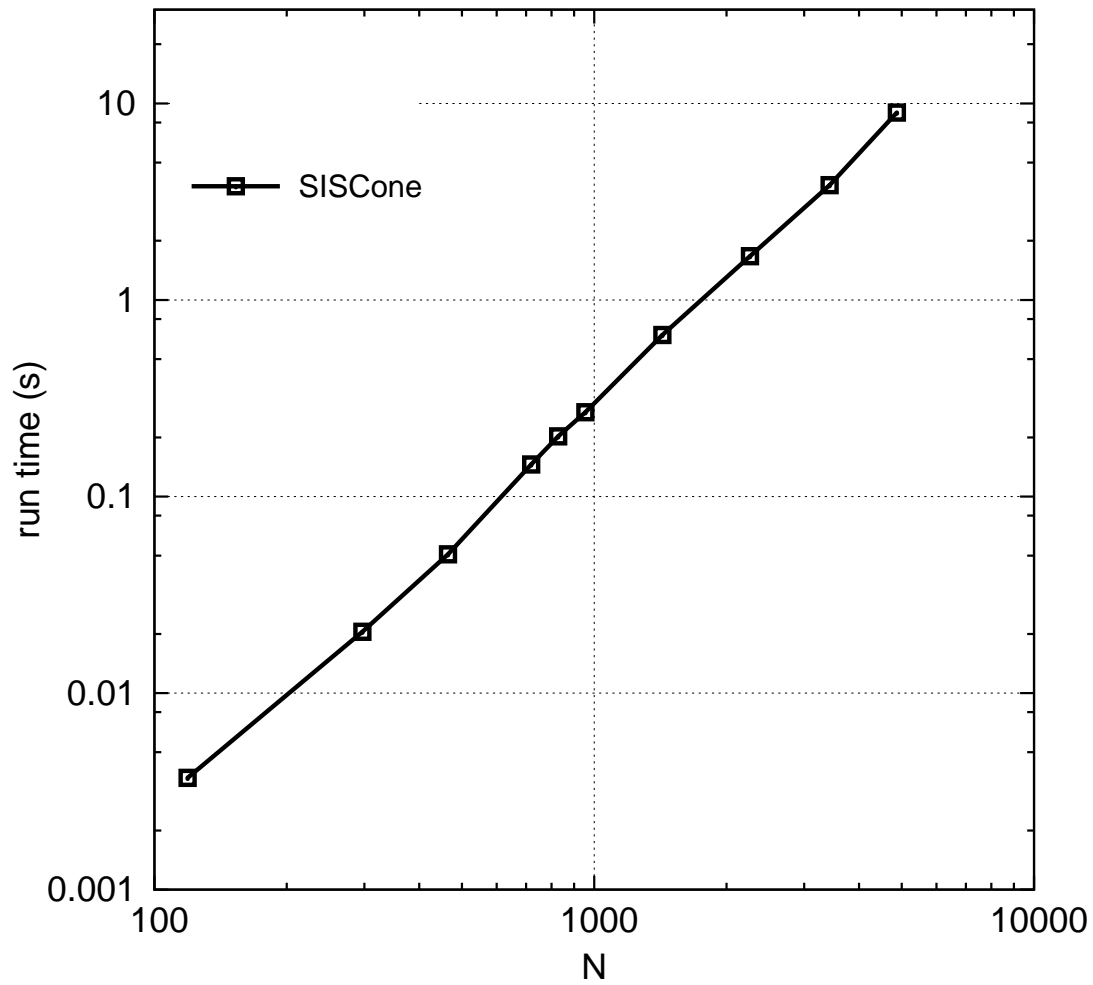
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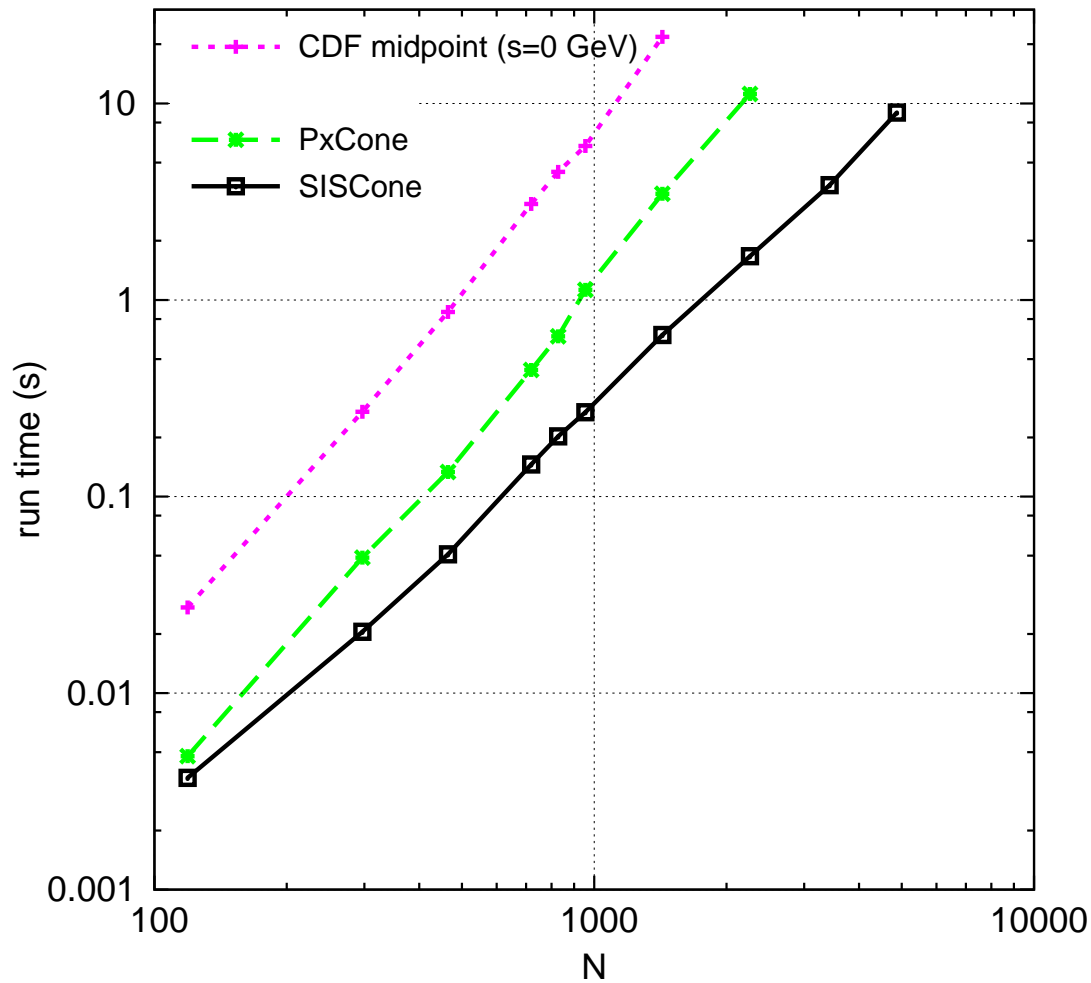
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All stable cones found in $\mathcal{O}(Nn \log(n))$

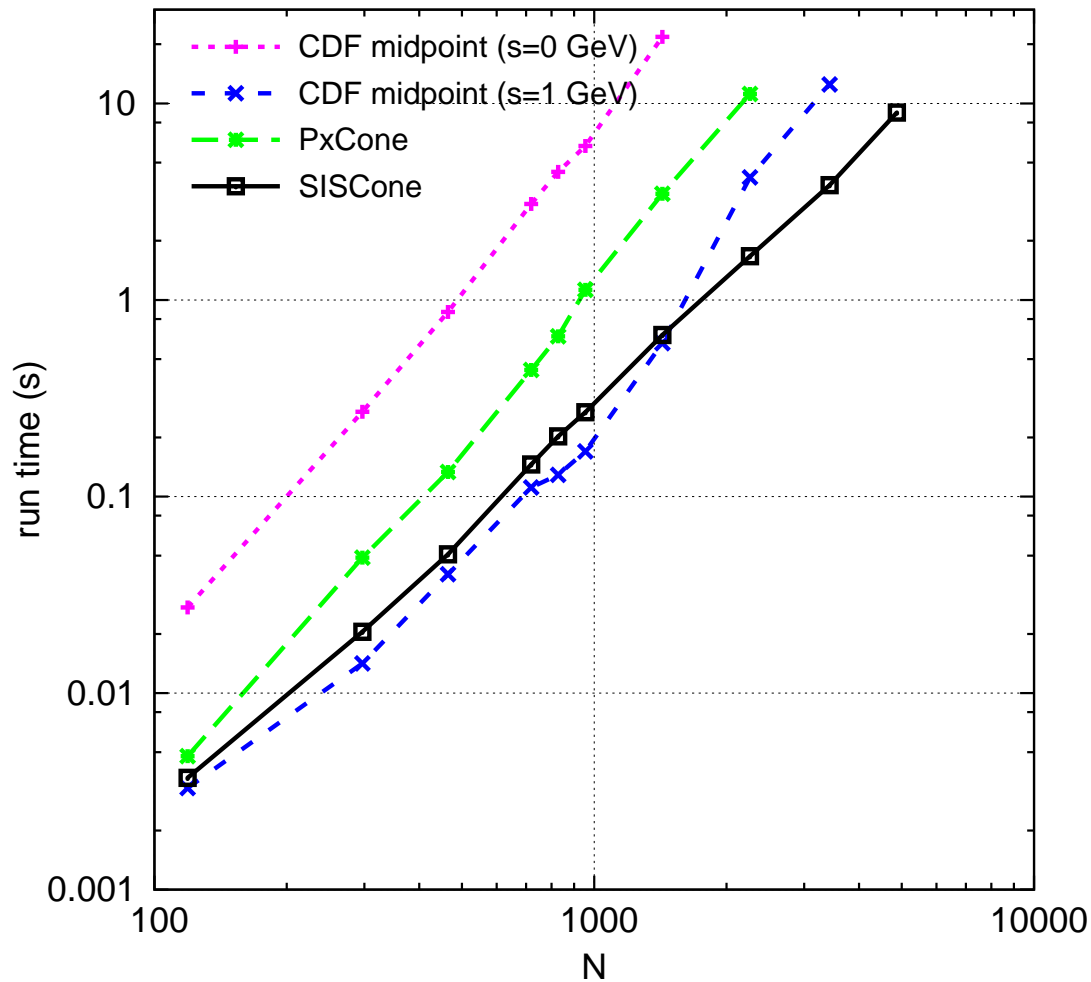
SISCone vs. other cone algorithms

implications of a seedless cone

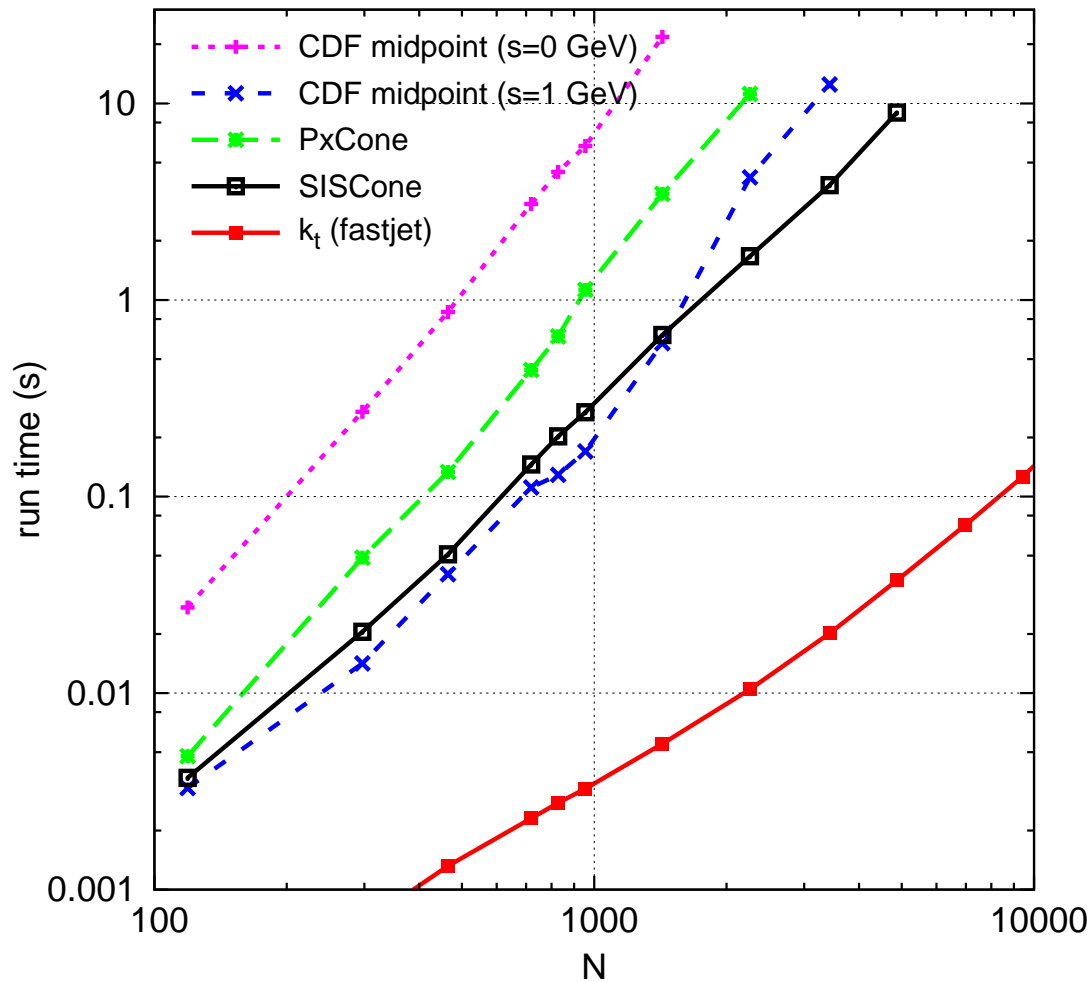




● faster than midpoint
with no seed threshold
and IR safe



- faster than midpoint with no seed threshold and IR safe
- same as midpoint with 1 GeV seed and collinear safe



- faster than midpoint with no seed threshold and IR safe
- same as midpoint with 1 GeV seed and collinear safe
- slower than k_t /FastJet affordable for practical usage e.g. at the LHC

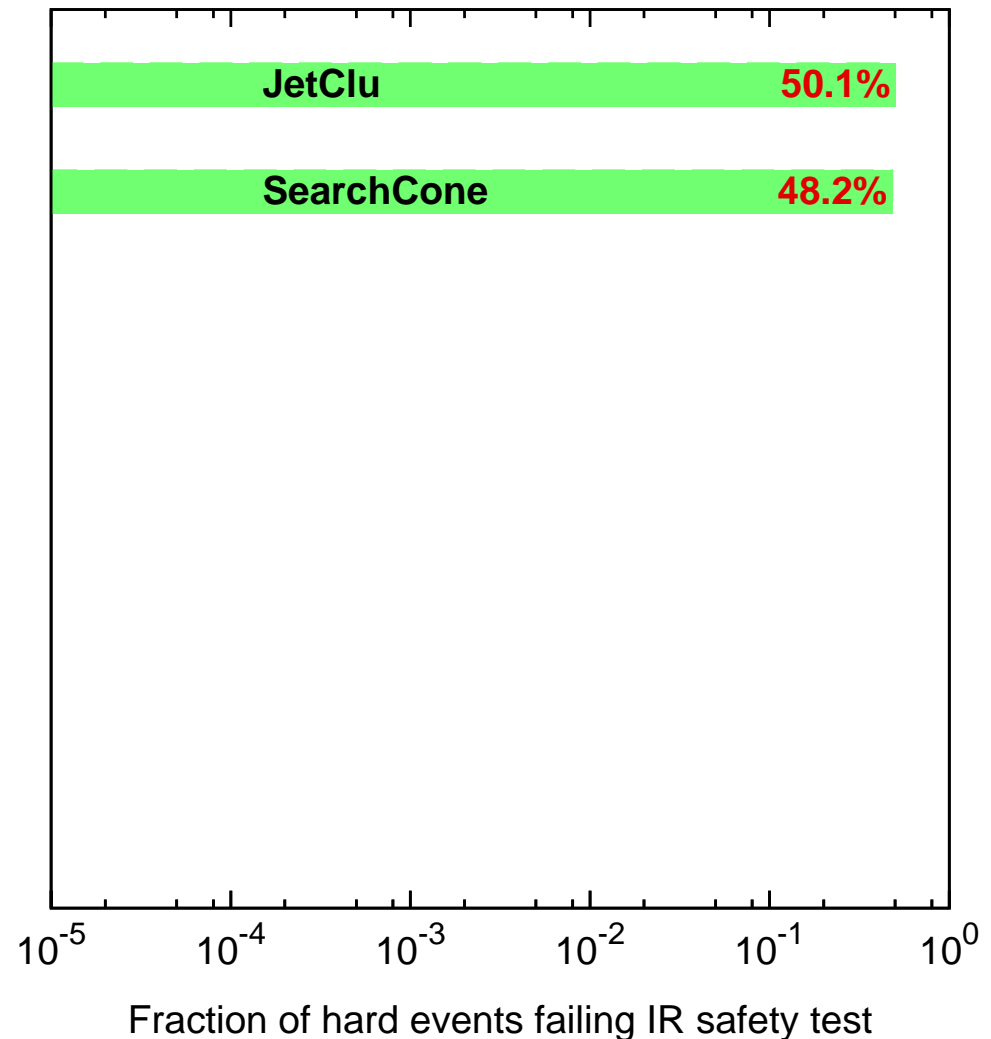
IR Unsafety failure rates

- Hard event: 2-10 particles
- Soft add-on: 1-5 particles
- Run:
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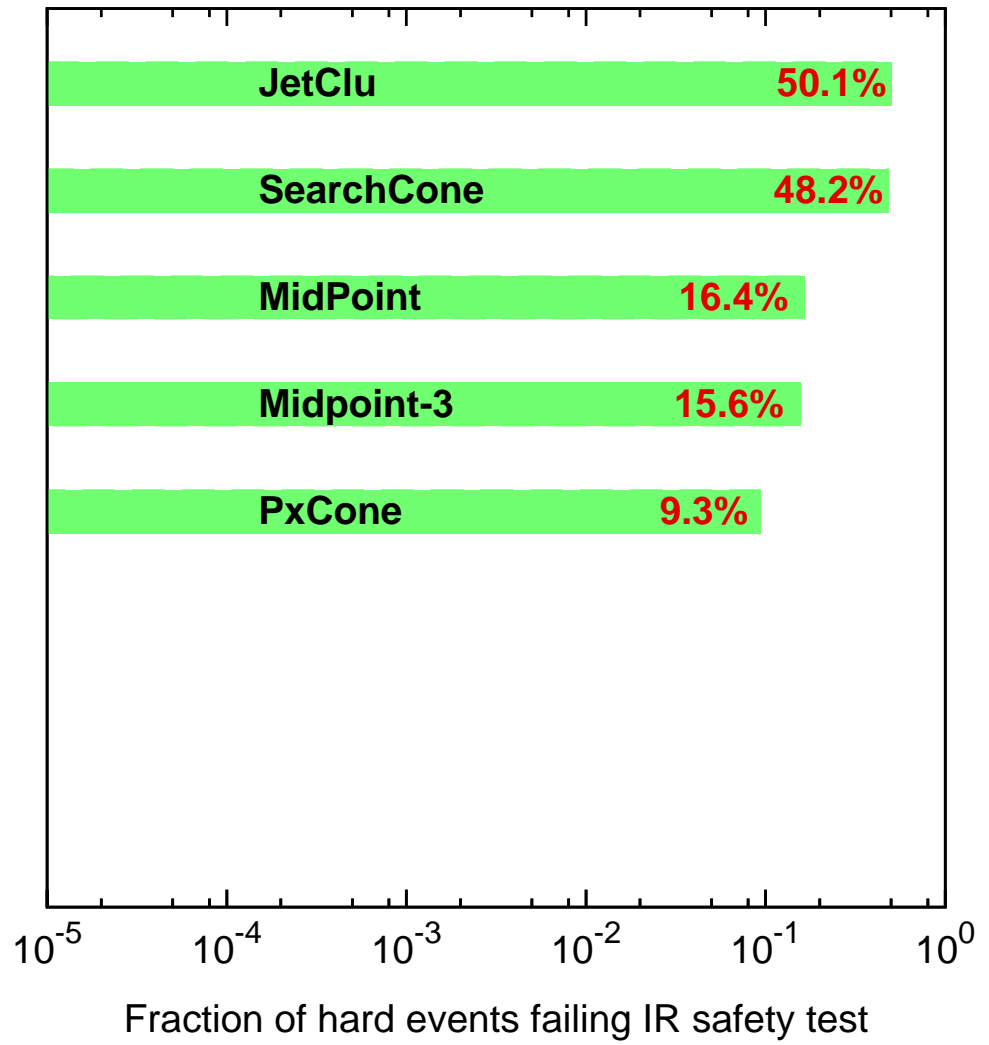
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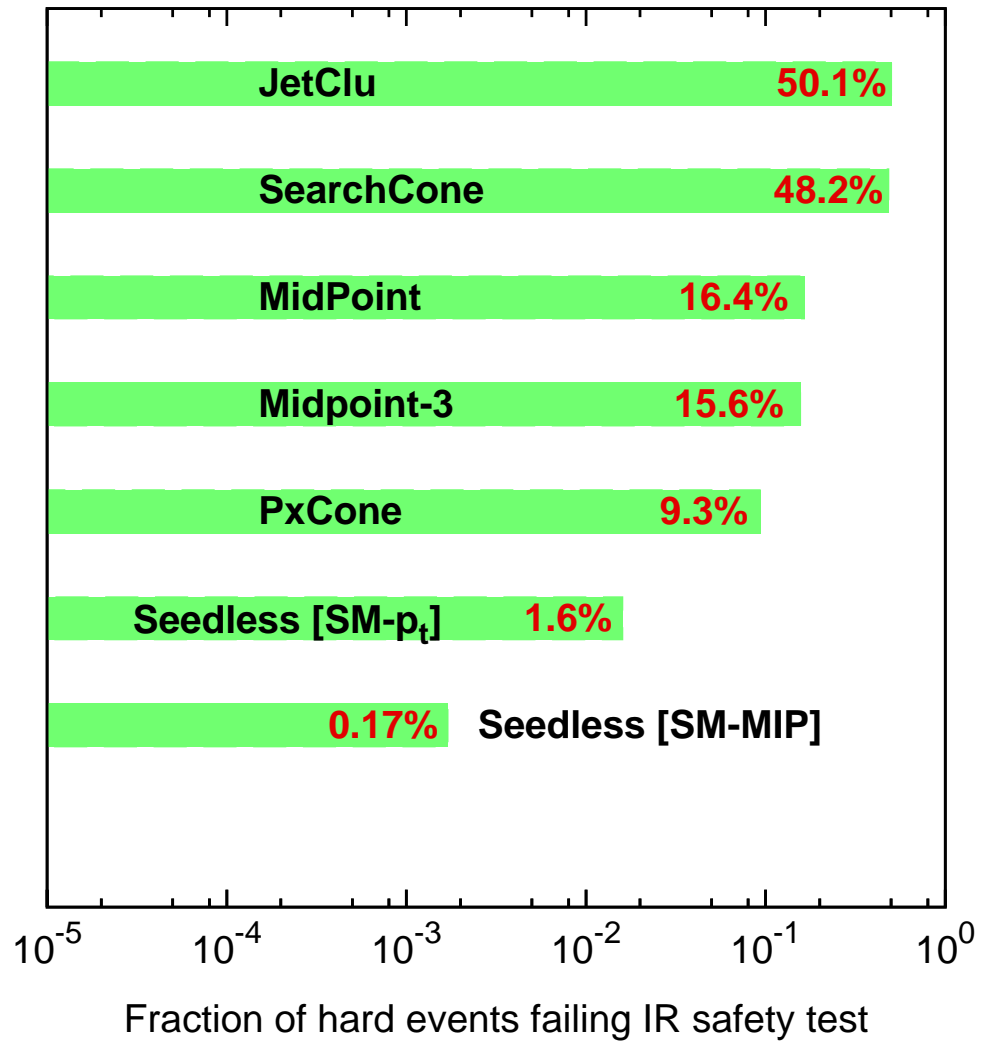


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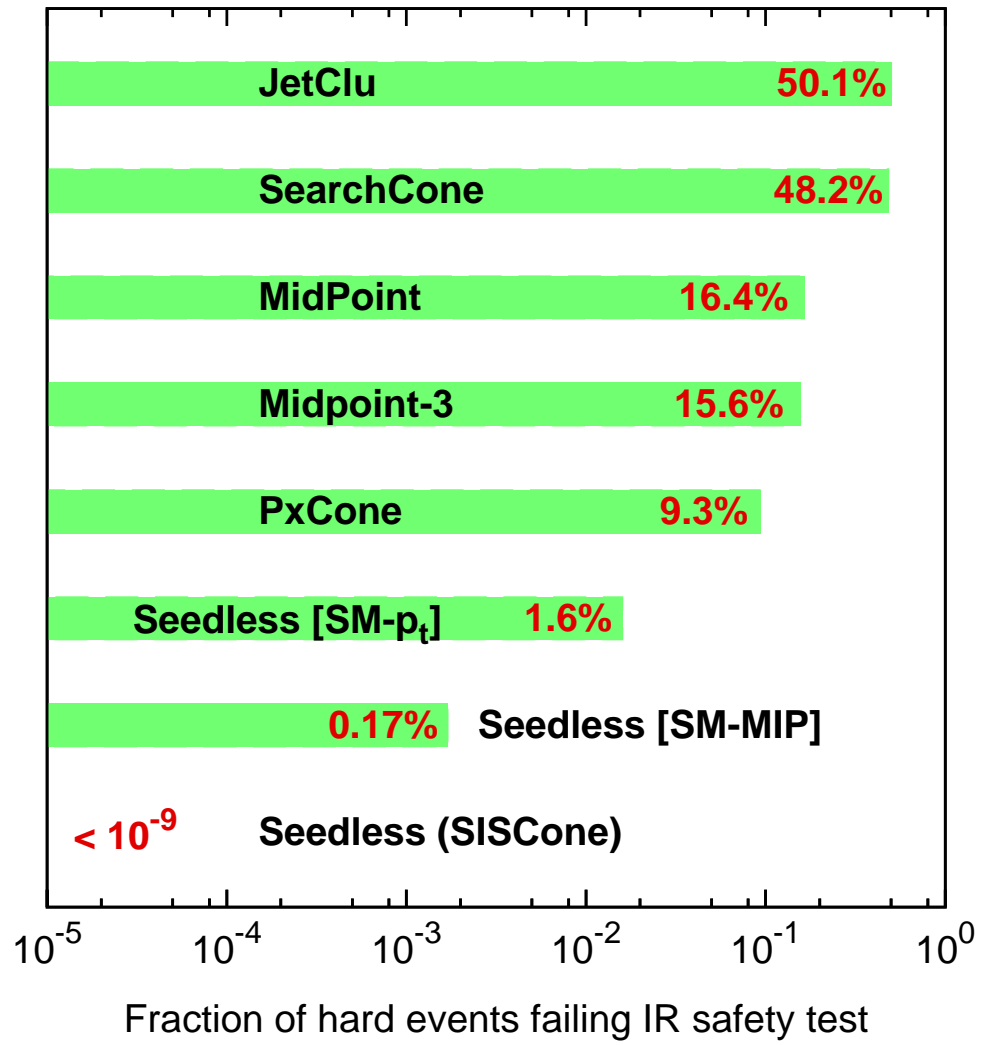


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| SISCone | IR safe ! |

NB: small issues in the split-merge



Consequences on observables

Physical impact: SISCone vs. midpoint(s) ?

IR unsafety of midpoint: 3 particles in the same vicinity + 1 to balance p_t

⇒ starts at the $2 \rightarrow 4$ level ($\mathcal{O}(\alpha_s^4)$)

| Observable | 1st miss cones at | Last meaningful order |
|-------------------------------|-------------------|-----------------------|
| Inclusive jet cross section | NNLO | NLO |
| $W/Z/H + 1$ jet cross section | NNLO | NLO |
| 3 jet cross section | NLO | LO |
| $W/Z/H + 2$ jet cross section | NLO | LO |
| jet masses in 3 jets | LO | none |
| masses in $W/Z/H + 2$ jets | LO | none |

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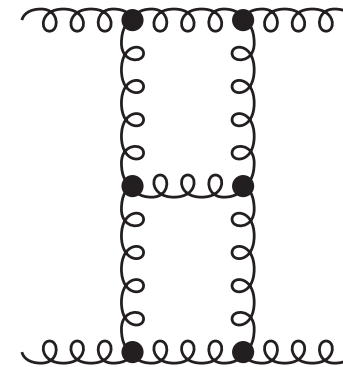
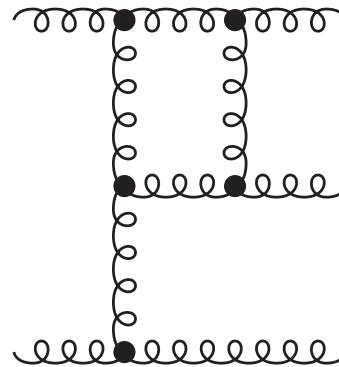
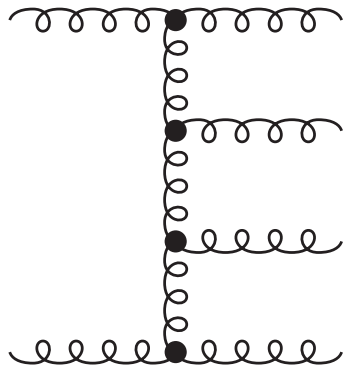
| Observable | 1st miss cones at | Last meaningful order |
|-------------------------------|-------------------|-----------------------|
| Inclusive jet cross section | NNLO | NLO |
| $W/Z/H + 1$ jet cross section | NNLO | NLO |
| 3 jet cross section | NLO | LO (NLO in NLOJet) |
| $W/Z/H + 2$ jet cross section | NLO | LO (NLO in MCFM) |
| jet masses in 3 jets | LO | none |
| masses in $W/Z/H + 2$ jets | LO | none |

The IR-unsafety issue will matter at LHC

Inclusive jet spectrum: perturbative exp.

SISCone vs. midpoint(s) in inclusive jet spectrum?

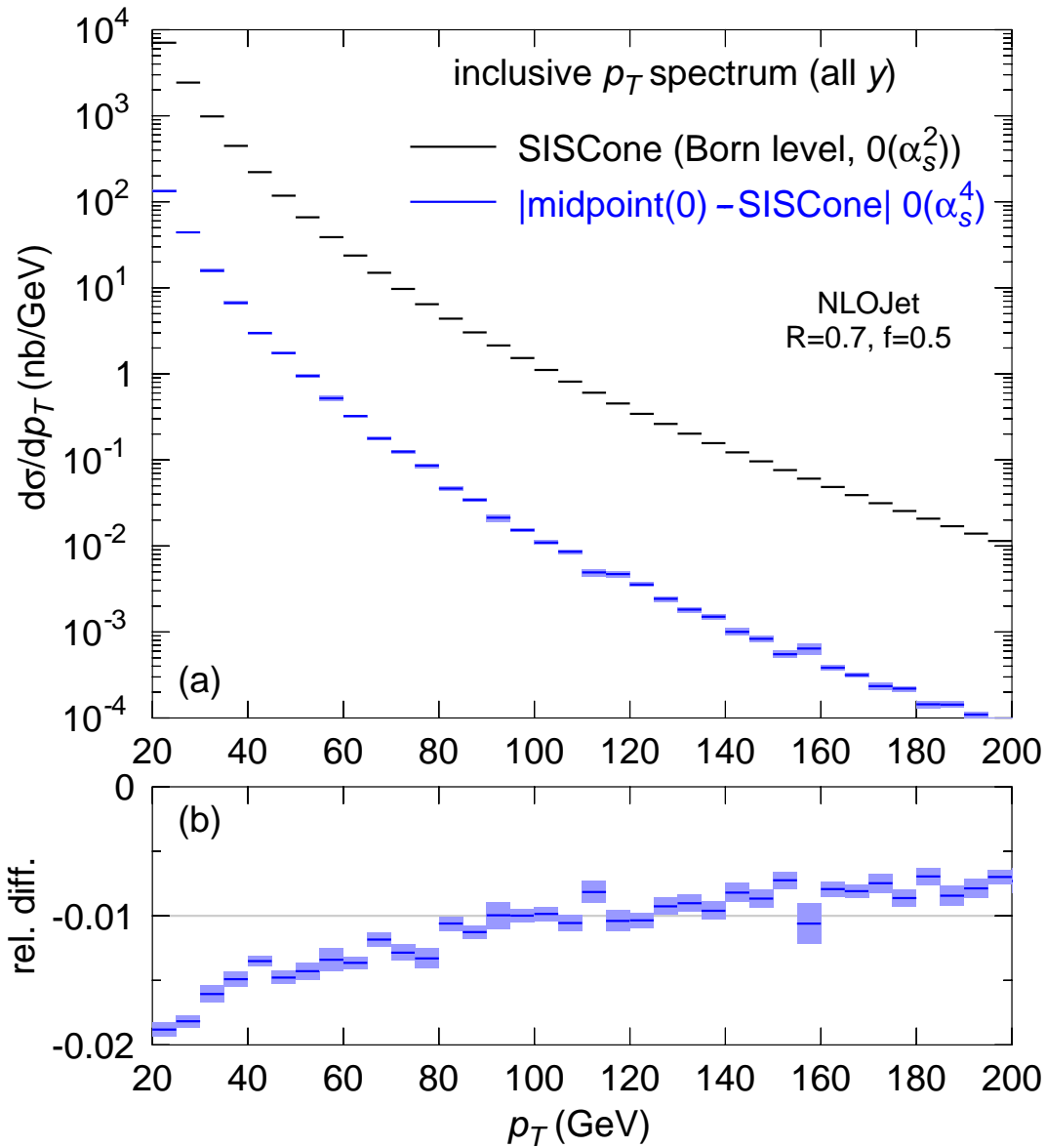
- IR unsafety of midpoint: 3 particles in the same vicinity + 1 to balance p_t
 \Rightarrow starts at the $2 \rightarrow 4$ level ($\mathcal{O}(\alpha_s^4)$)
- 3 contributions at this order:
 $2 \rightarrow 4$ at LO (tree), $2 \rightarrow 3$ at NLO (1 loop) and $2 \rightarrow 2$ at NNLO (2 loops)



SISCone vs. midpoint(s) in inclusive jet spectrum?

- IR unsafety of midpoint: 3 particles in the same vicinity + 1 to balance p_t
 \Rightarrow starts at the $2 \rightarrow 4$ level ($\mathcal{O}(\alpha_s^4)$)
 - 3 contributions at this order:
 $2 \rightarrow 4$ at LO (tree), $2 \rightarrow 3$ at NLO (1 loop) and $2 \rightarrow 2$ at NNLO (2 loops)
 - $2 \rightarrow 4$ at LO is IR divergent
BUT the difference between SISCone and midpoint(s) is finite since it is 0 at the $2 \rightarrow 2$ and $2 \rightarrow 3$ levels
- \Rightarrow compute |SISCone-midpoint(s)| for $2 \rightarrow 4$ diagrams
- Compare with the $2 \rightarrow 2$ (LO) spectrum to estimate effect

Inclusive jet spectrum: perturbative exp.

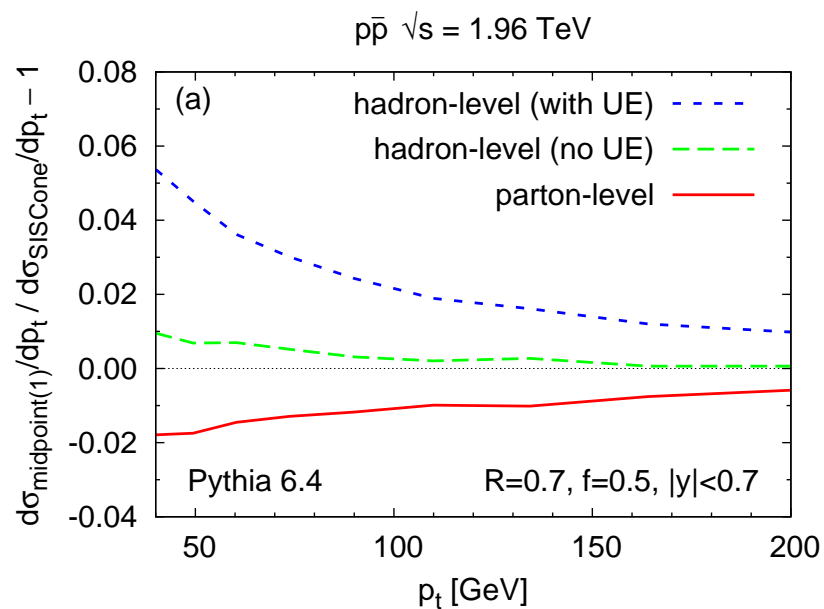


Differences of order 1-2 %

Inclusive jet spectrum: hadron level

Including parton shower, hadronic corrections and/or underlying event:

Ratio midpoint/SISCone-1:

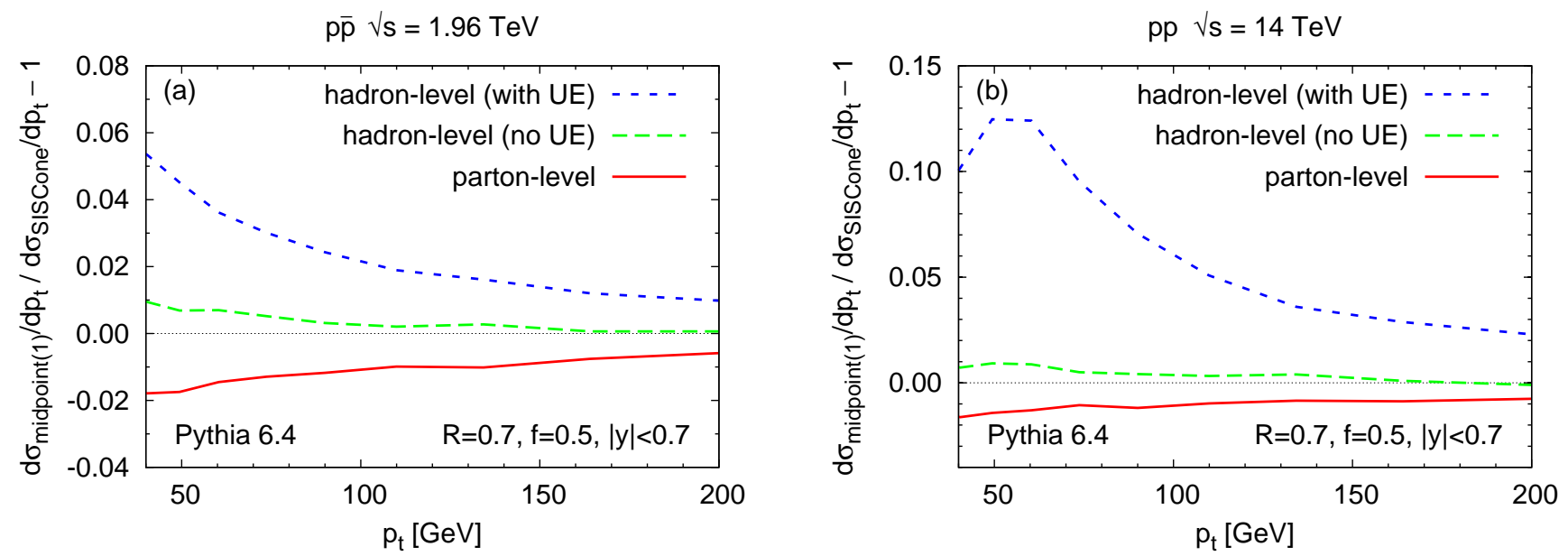


- Differences up to 5% (with a change of sign)

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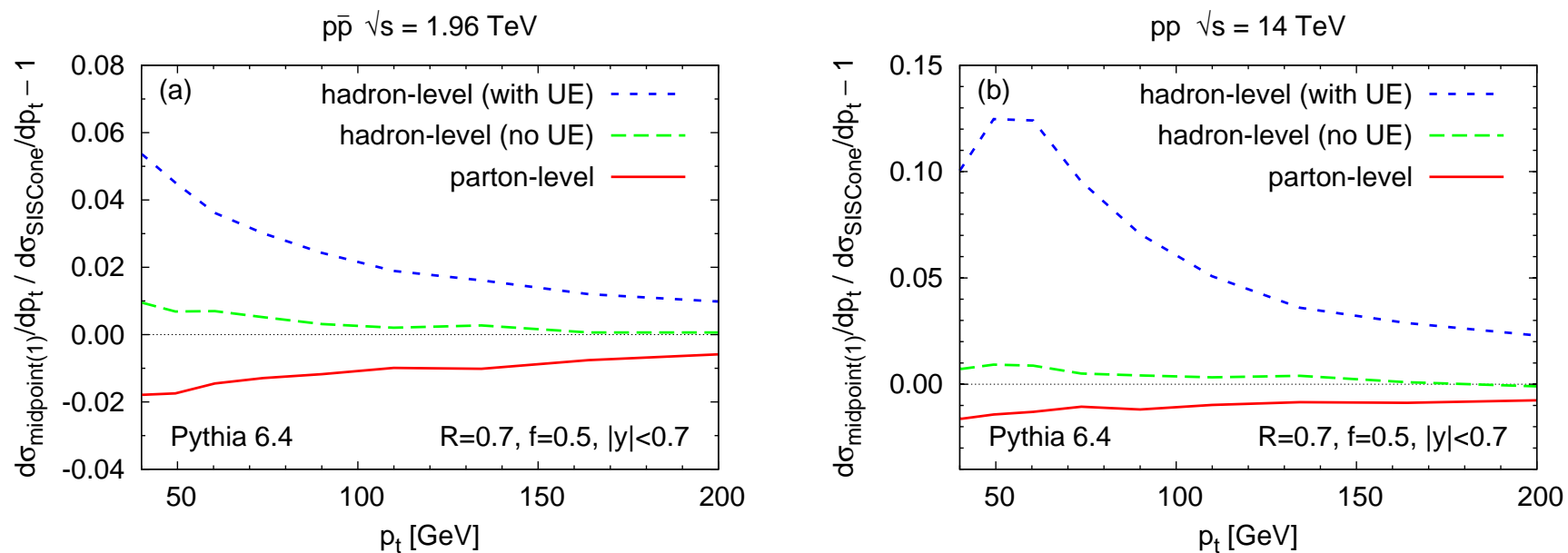


- Differences up to 5% (with a change of sign)
- Raise up to 10% at LHC energy!

Inclusive jet spectrum: hadron level

Including parton shower, hadronic corrections and/or underlying event:

Ratio midpoint/SISCone-1:



- Differences up to 5% (with a change of sign)
- Raise up to 10% at LHC energy!
- Less effect from underlying event in SISCone (i.e. better agreement with parton level)

Inclusive jet spectrum

→ effect at NNLO i.e. $\mathcal{O}(\alpha_s^2)$ w.r.t. LO

⇒ want to look at more exclusive processes

Example: mass spectrum in 3-jet events (or W/Z/H+2j)

$$\left. \begin{array}{l} 2 \rightarrow 2 \text{ has only 2 jets} \\ 2 \rightarrow 3 \text{ has zero masses} \end{array} \right\} \Rightarrow \text{first contribution from } 2 \rightarrow 4$$

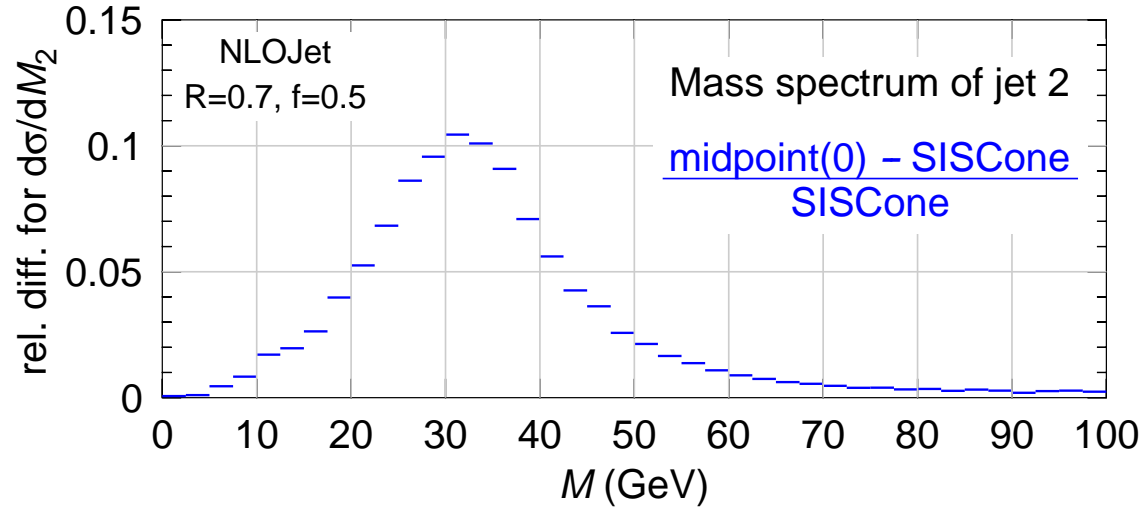
⇒ Expect modifications at LO!

Ratio $\frac{\text{midpoint-SISCone}}{\text{SISCone}}$ for masses spectra in 3-jet events

cuts: $p_{t,1} \geq 120 \text{ GeV}$, $p_{t,2} \geq 80 \text{ GeV}$, $p_{t,3} \geq 40 \text{ GeV}$

Jet mass spectrum: perturbative level

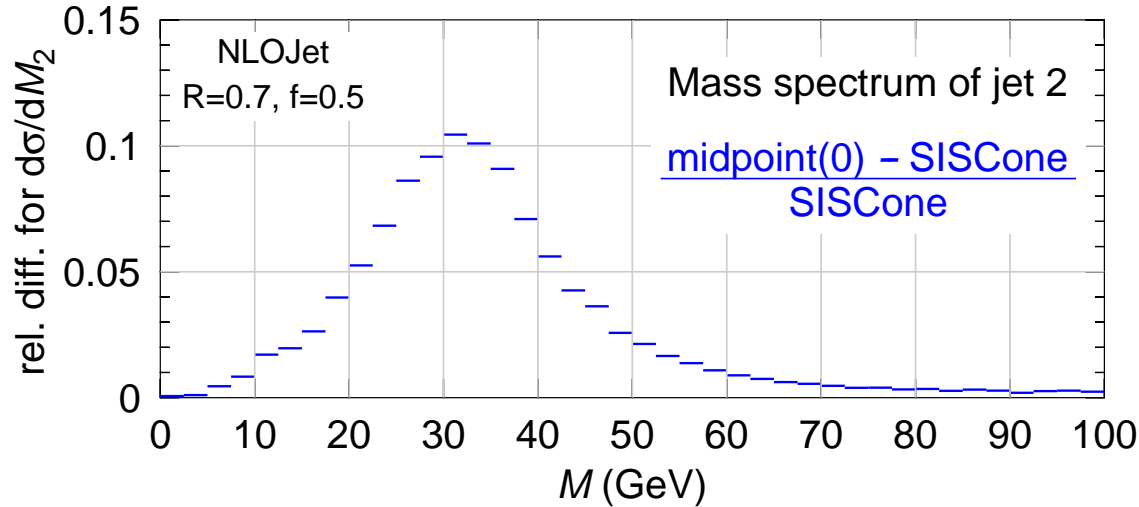
1. Fixed order computation (NLOJet, LO, $2 \rightarrow 4$)



Differences up to 10 %

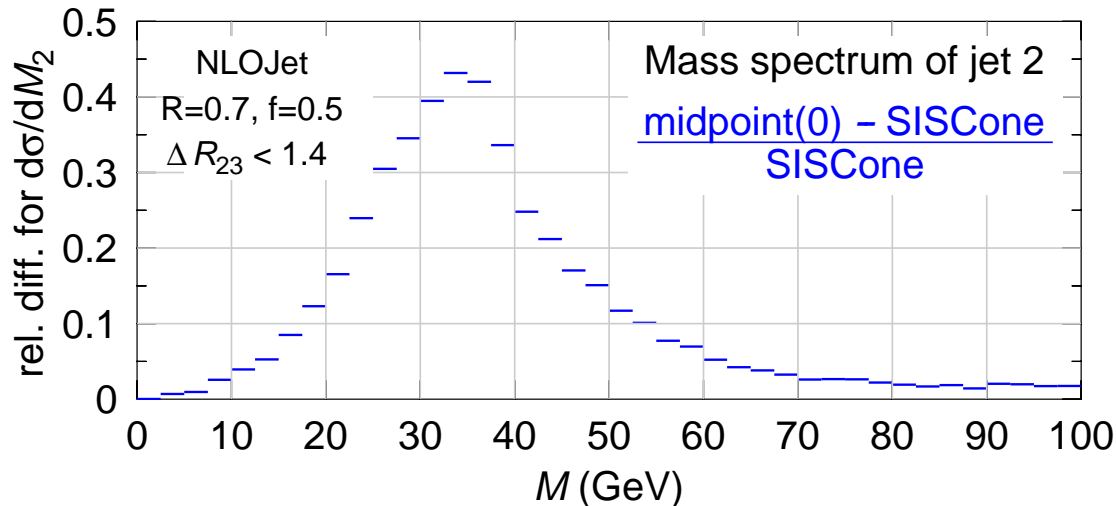
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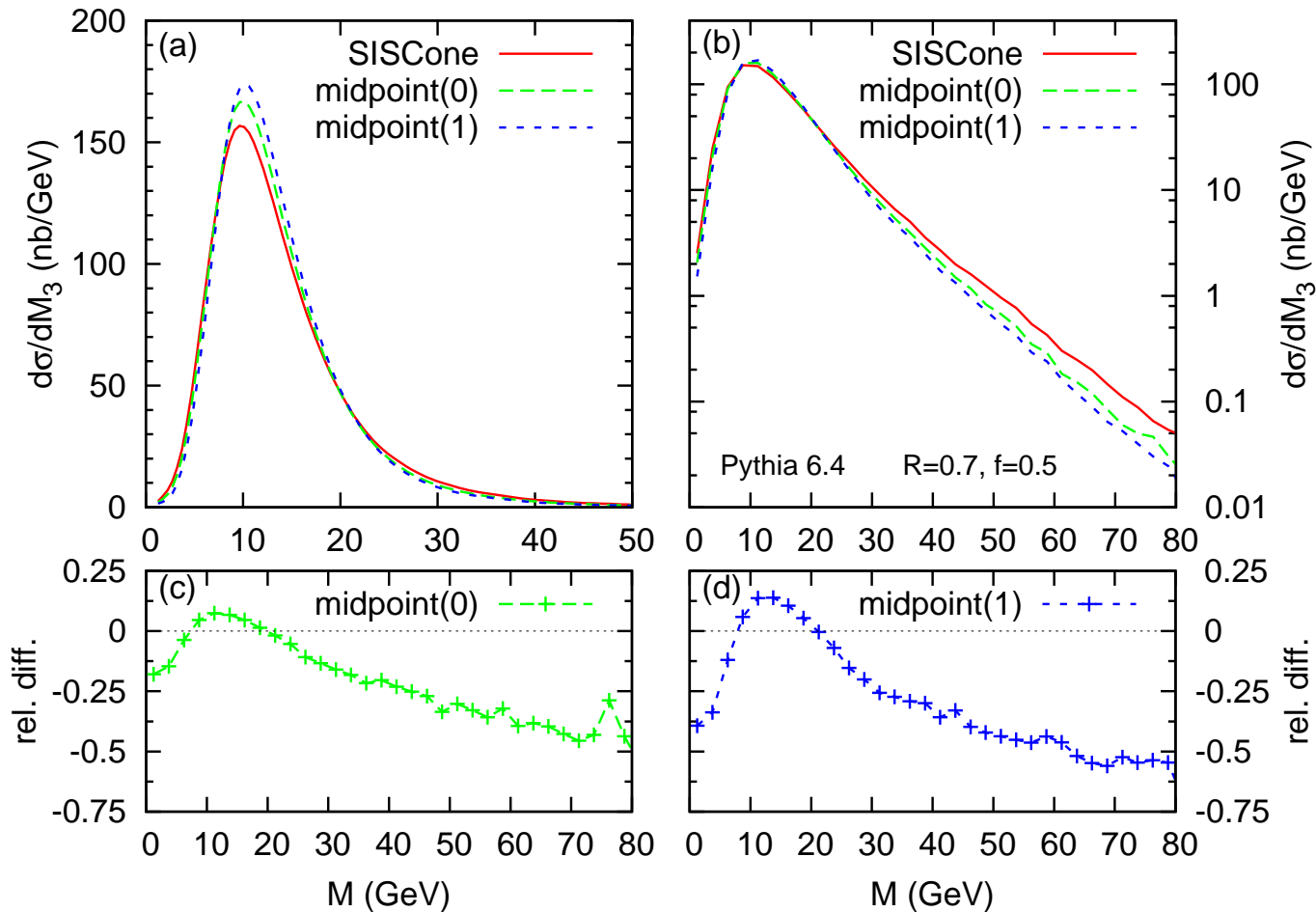
2. Also require jets 2 and 3 within distance $\leq 2R$



Differences up to 40 %

Impact on jet mass spectrum

3. At hadron level (PYTHIA)



- ▷ Differences of order 10 %
- ▷ Larger effects in the tail
- ▷ seed threshold even worse

SISCone conclusions

- Jets are present everywhere: k_t and cone are widely used
- seeded implementations are **IR unsafe** (sometimes **collinear unsafe**)
IR safety is a prerequisite for perturbative QCD to make sense

We propose a [new cone algorithm](#) (SISCone):

- **IR safe** (and **collinear safe**)
- as **fast** as available cone implementations
- has **10% impact on jet mass spectra** (can be up to 40%)
- is **less affected by underlying events**

Jet area

*Everyone has an idea of what a jet area is
but can we define that properly?*

[M. Cacciari, G. Salam, G.S., in preparation]

[M. Cacciari, G. Salam, in preparation]

- Idea: add soft particle (**ghosts**)
 - with IR-safe algorithms such as k_t , Aachen/Cambridge and SISCone, clustering is unchanged
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also gives purely ghosted jets

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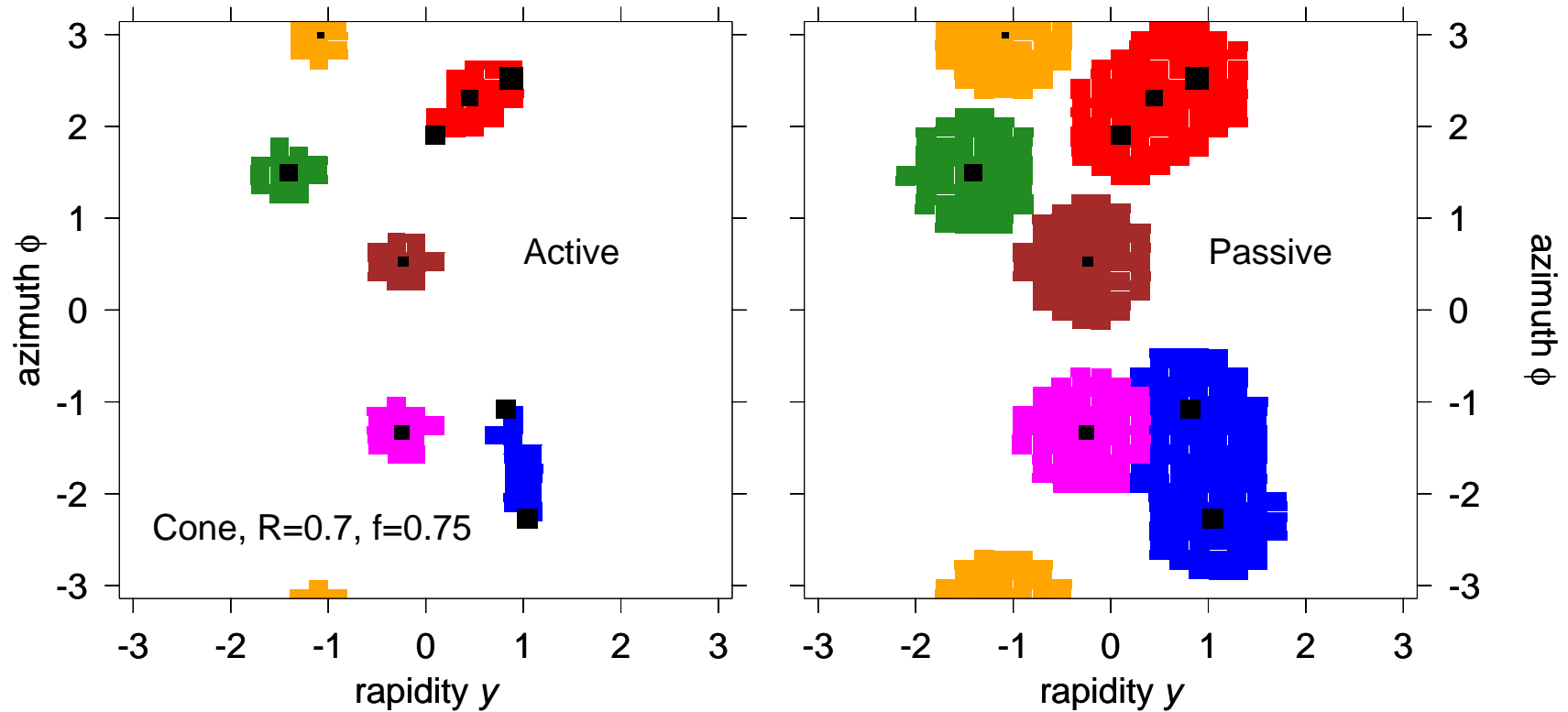
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add a large amount of ghosts and cluster everything
also gives purely ghosted jets
- Voronoi area

~ Area of the Voronoi cells

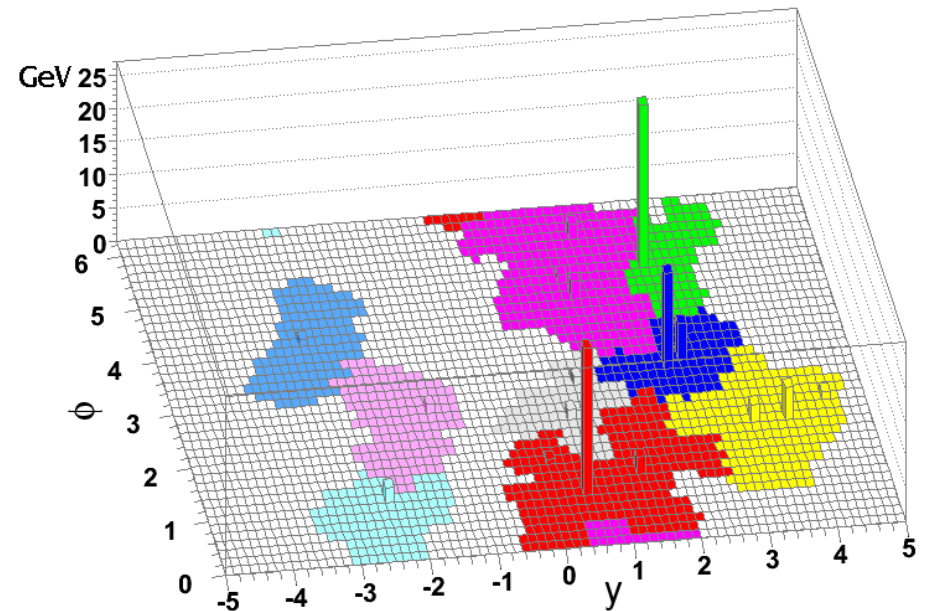
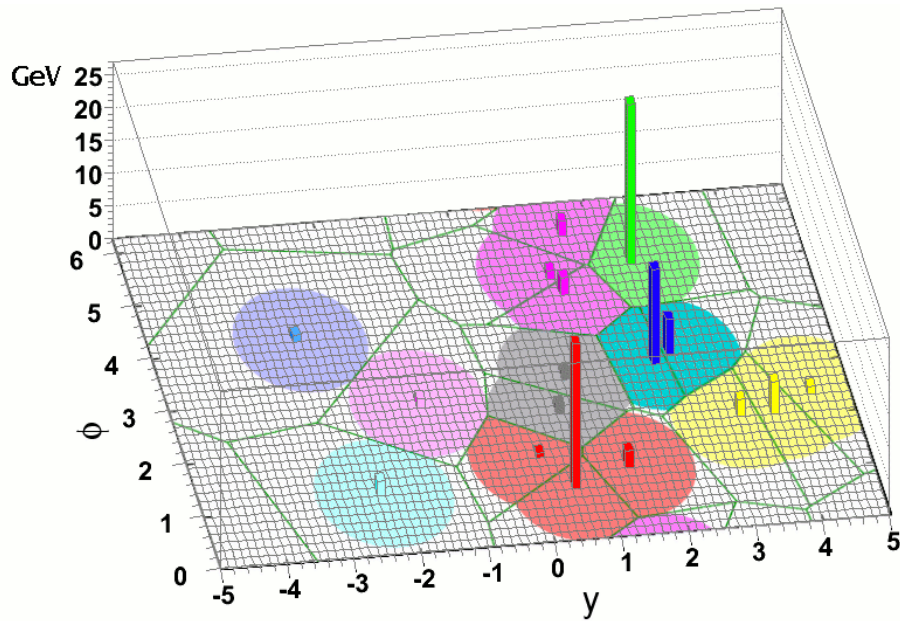
Area definition

- Small N : active area is usually smaller than passive area (especially for the cone)



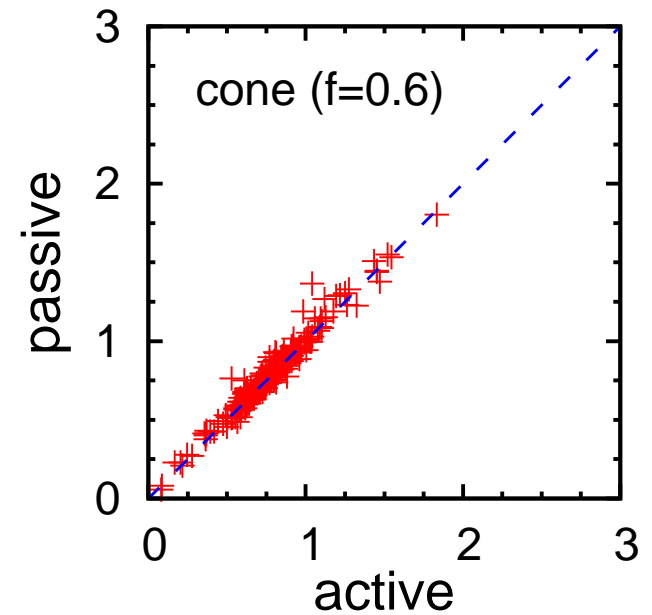
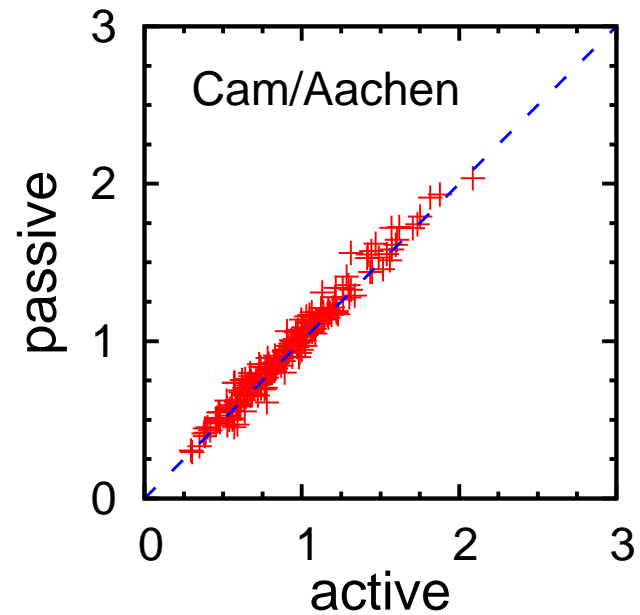
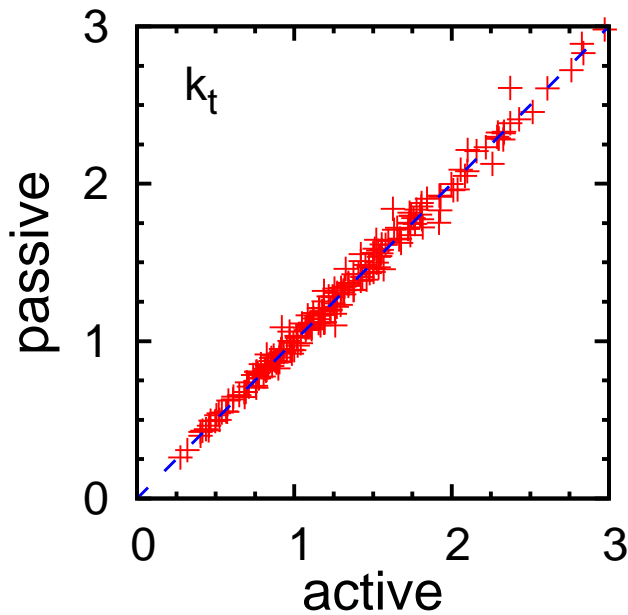
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Area definition

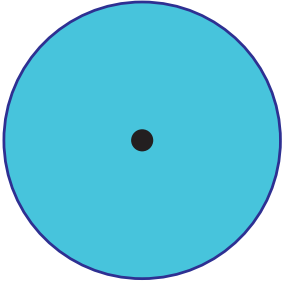
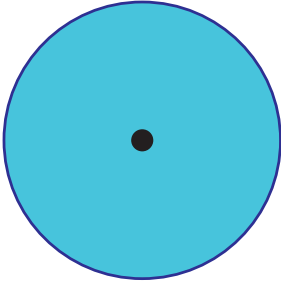
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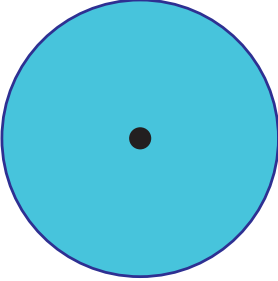
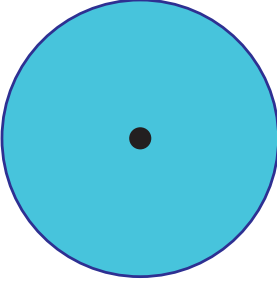
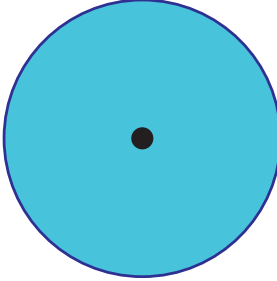
Examples: 1-particle cases

| | k_t | Aac/Cam | cone |
|---------|-------|---------|------|
| Passive | | | |
| Active | | | |

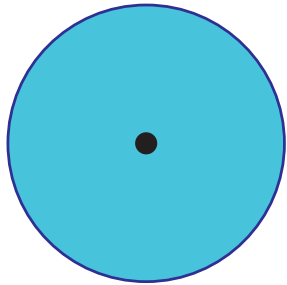
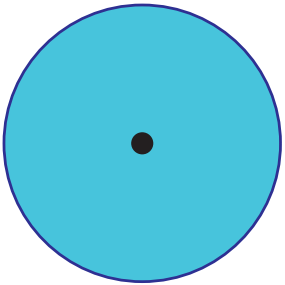
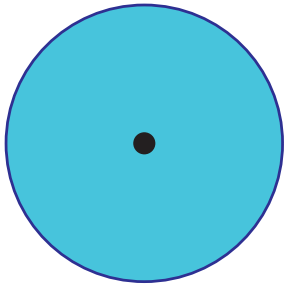
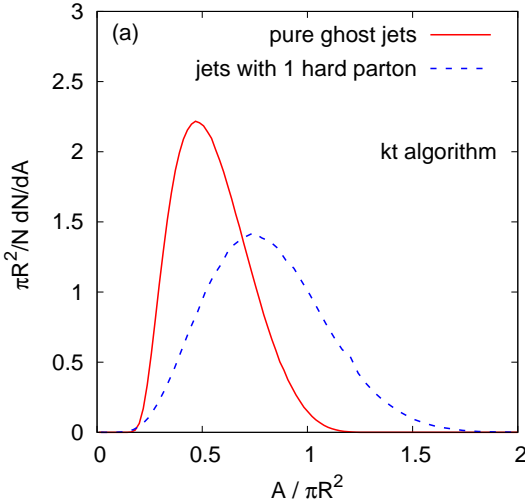
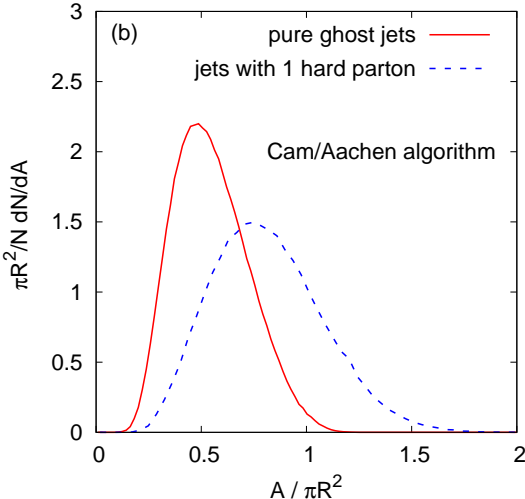
Examples: 1-particle cases

| | k_t | Aac/Cam | cone |
|---------|---|--|------|
| Passive |  πR^2 |  πR^2 | |
| Active | | | |

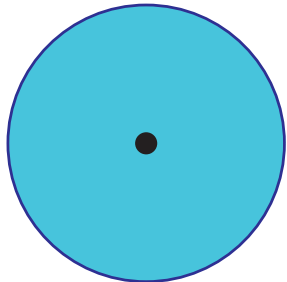
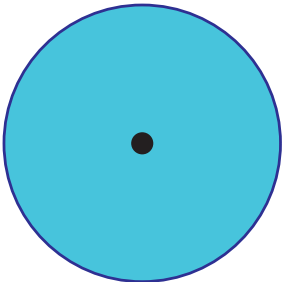
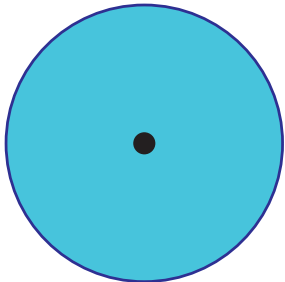
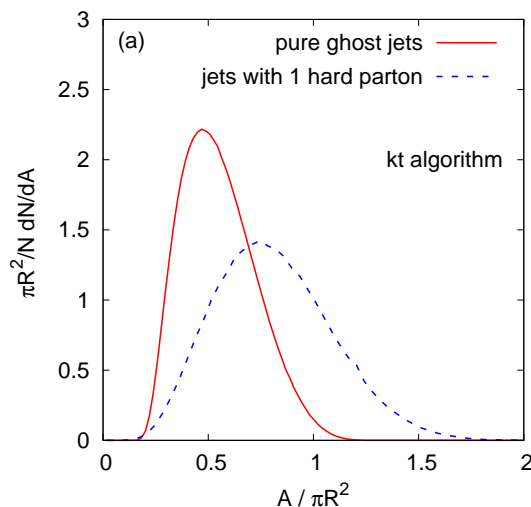
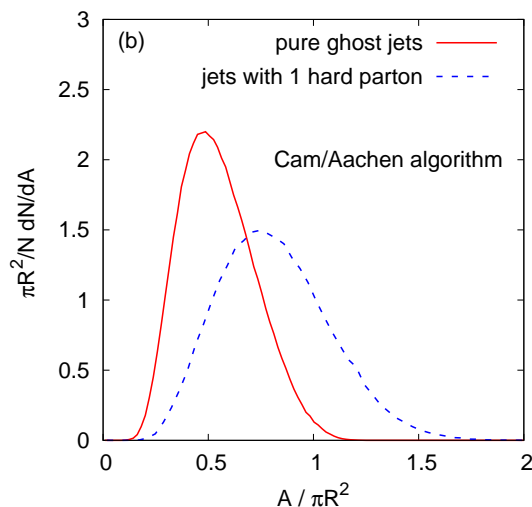
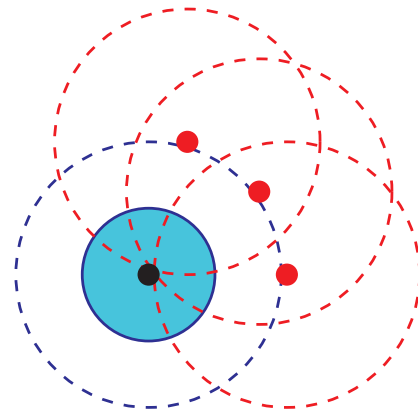
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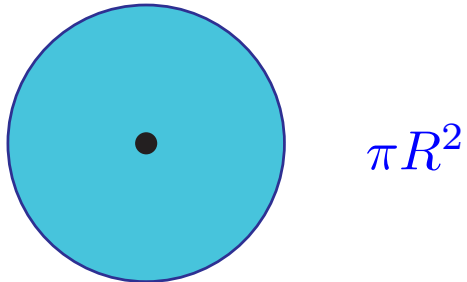
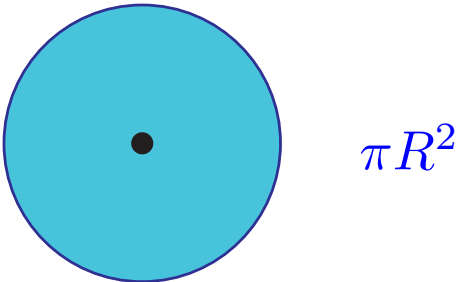
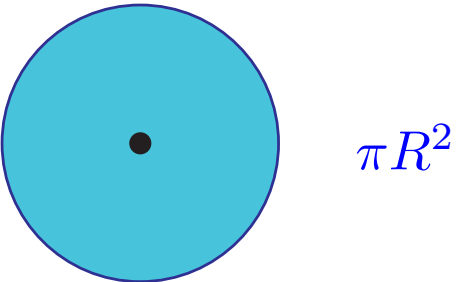
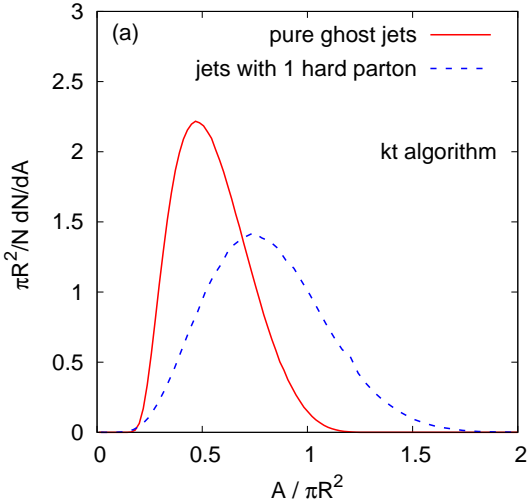
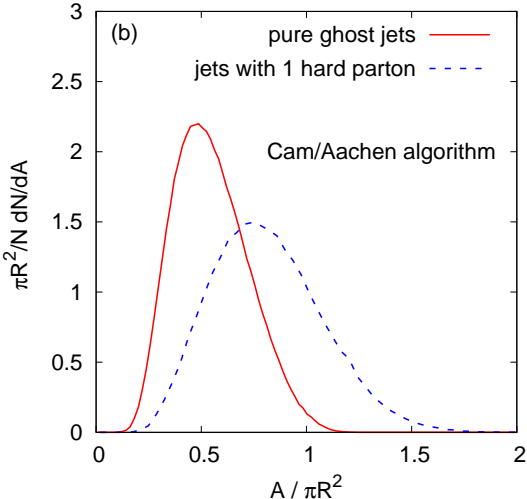
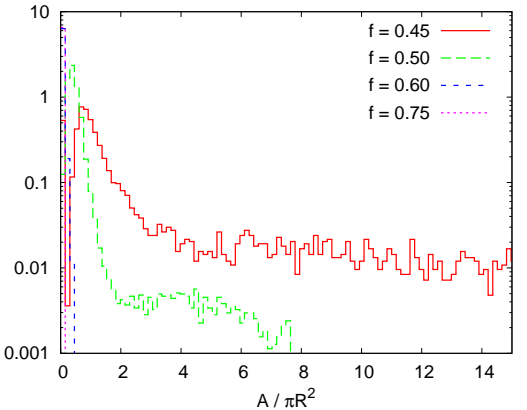
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| Active |  <p>(a) pure ghost jets ——— jets with 1 hard parton - - - kt algorithm</p> $\frac{A_{\text{hard}}}{\pi R^2} \approx 0.812 \pm 0.277$ $\frac{A_{\text{ghost}}}{\pi R^2} \approx 0.554 \pm 0.174$ |  <p>(b) pure ghost jets ——— jets with 1 hard parton - - - Cam/Aachen algorithm</p> $\frac{A_{\text{hard}}}{\pi R^2} \approx 0.814 \pm 0.261$ $\frac{A_{\text{ghost}}}{\pi R^2} \approx 0.551 \pm 0.176$ | |

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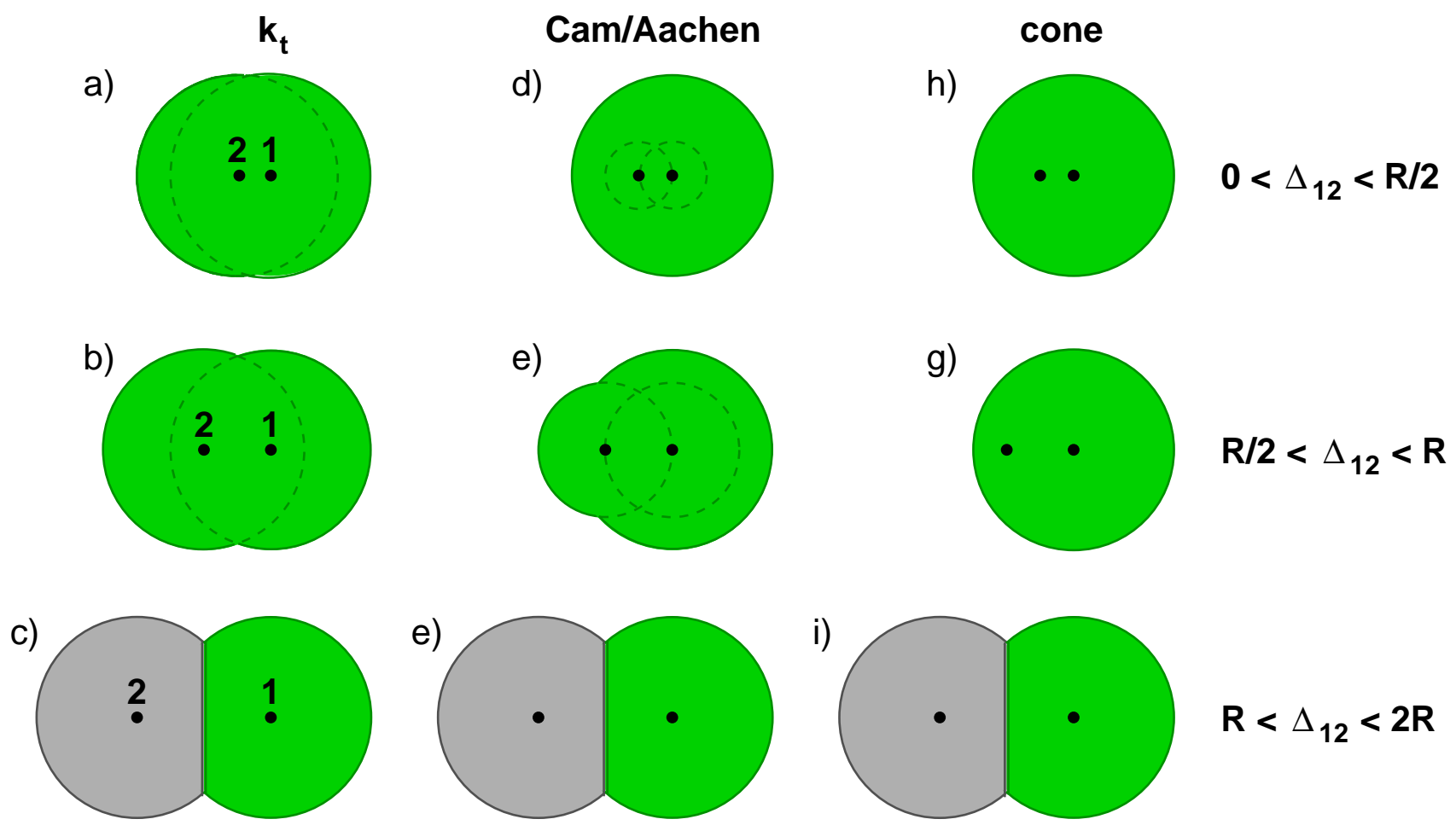
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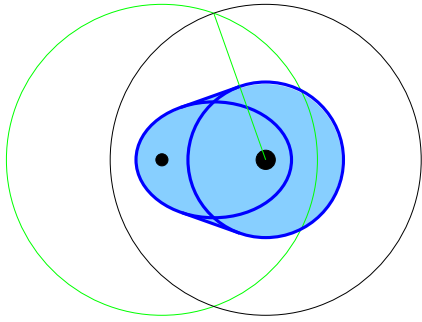
2-particle cases

Passive area: 1 hard particle + 1 soft

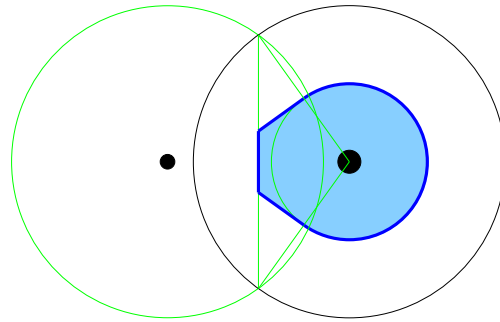


2-particle cases

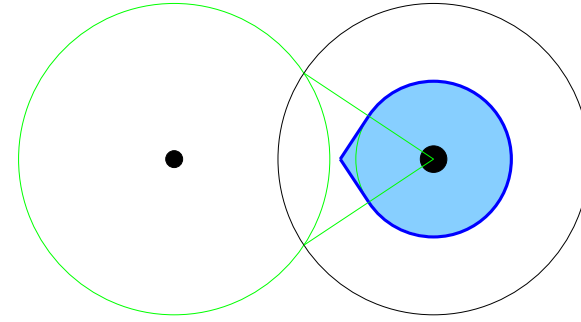
Active area: 1 hard particle + 1 soft: analytic result for cone only



$$d < R$$



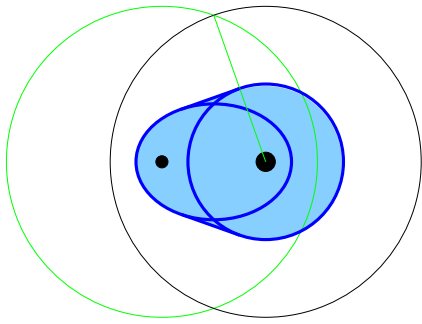
$$R < d < \sqrt{2} R$$



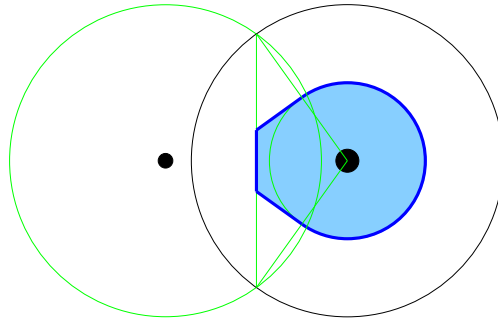
$$\sqrt{2} R < d < 2R$$

2-particle cases

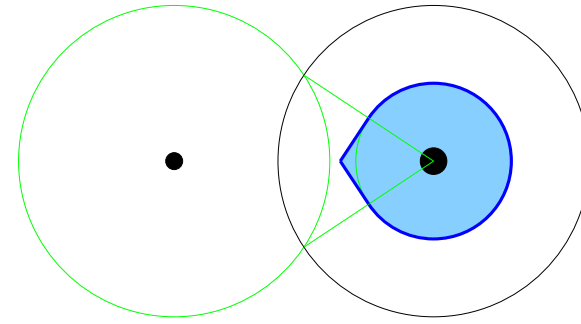
Active area: 1 hard particle + 1 soft: **analytic result for cone only**



$$d < R$$



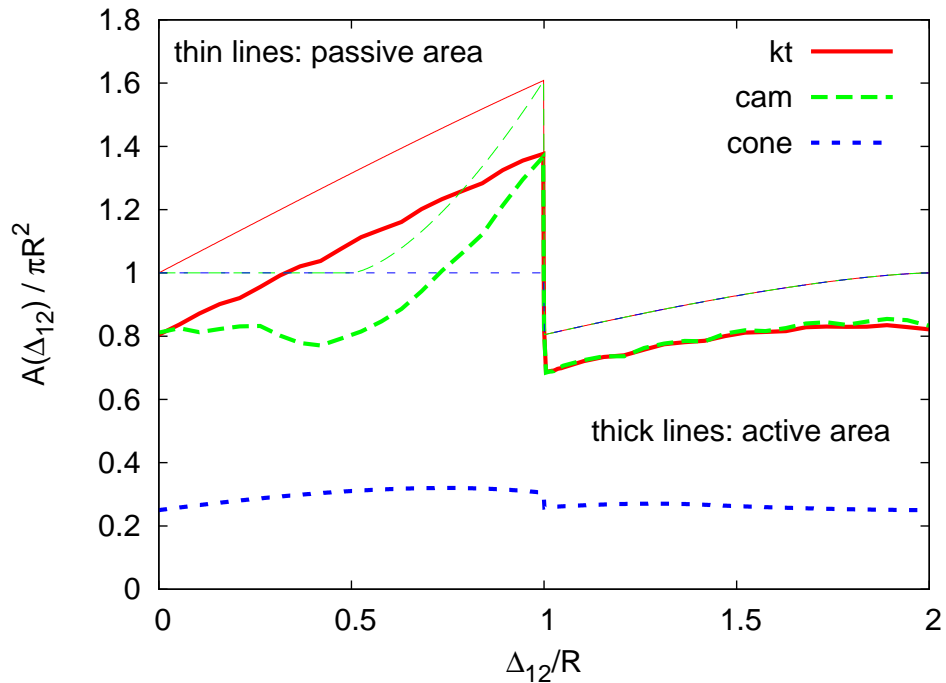
$$R < d < \sqrt{2} R$$



$$\sqrt{2} R < d < 2R$$

Alltogether, we have:

- Area \neq cst. πR^2
- Δ_{12} dependence under control



Area scaling violations

QCD probability of emitting a small-angle soft gluon:

$$\frac{dP}{d\Delta_{12} dp_{t,2}} = C_{F,A} \frac{2\alpha_s}{\pi} \frac{1}{\Delta_{12}} \frac{1}{p_{t,2}}$$

Hence the average area is

$$\langle \mathcal{A}(p_{t,1}, R) \rangle = \mathcal{A}_{\text{hard}}(R) + \int d\Delta dp_{t,2} \frac{dP}{d\Delta_{12} dp_{t,2}} [\mathcal{A}_{\text{hard+1 soft}}(\Delta, R) - \pi R^2]$$

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- Scaling violation

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- Scaling violation
- gluon > quark
- with known LO anomalous dimension

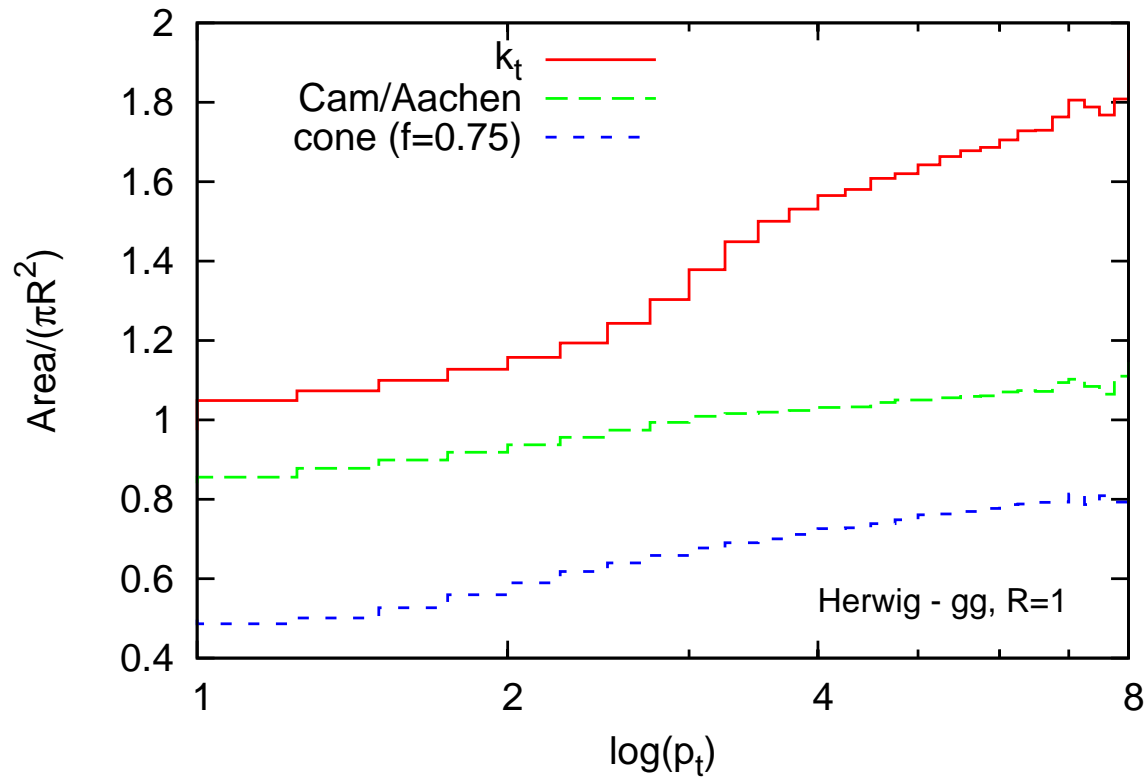
| d | passive | active |
|-------|----------|--------|
| k_t | 0.5638 | 0.519 |
| Cam | 0.07918 | 0.0865 |
| Cone | -0.06378 | 0.1246 |

“Real-life” anomalous dimension

Herwig simulations of qq or gg processes at hadron level with underlying event:
area vs. p_t of the jet

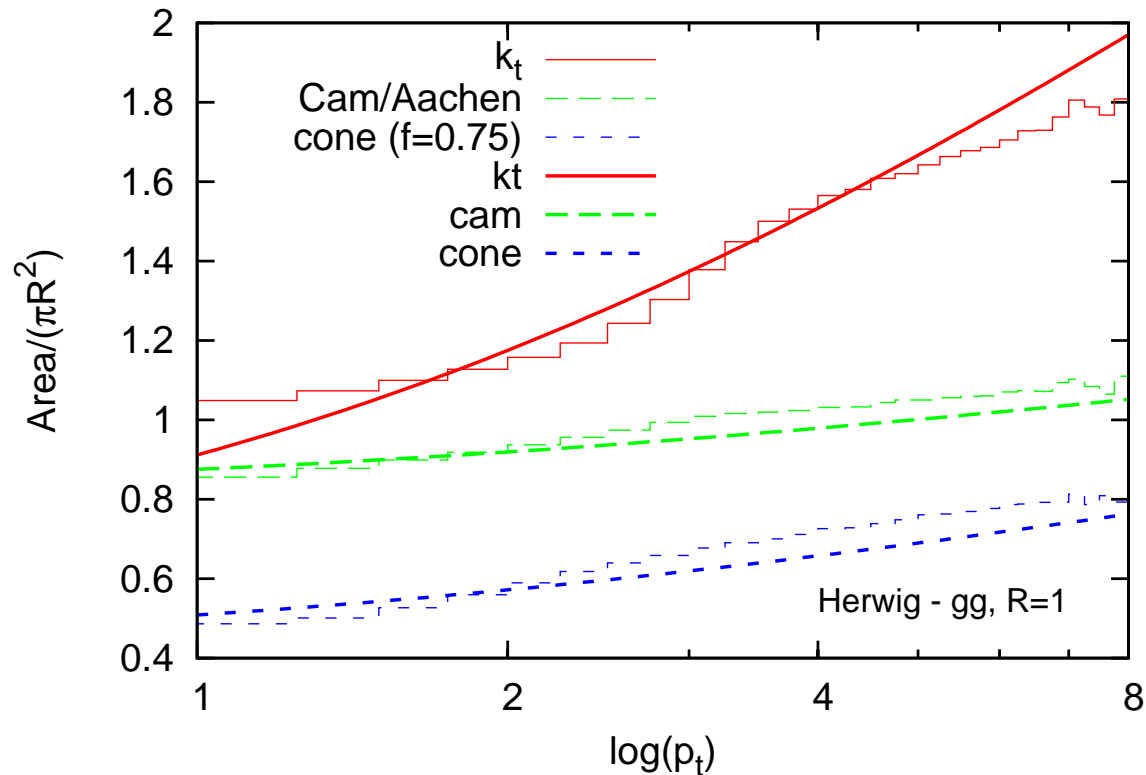
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area vs. p_t of the jet



“Real-life” anomalous dimension

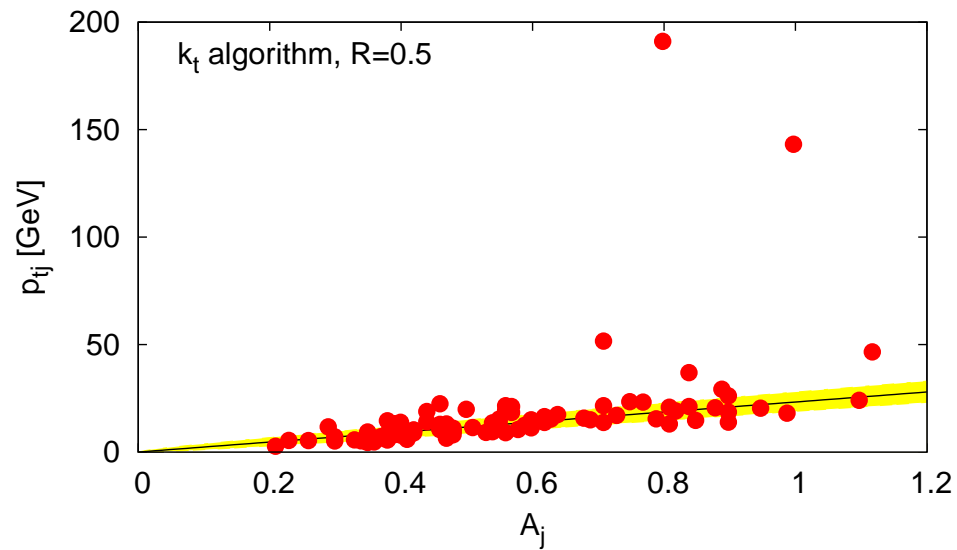
Herwig simulations of qq or gg processes at hadron level with underlying event:
area vs. p_t of the jet



- good agreement with LO predictions
- k_t bigger \Rightarrow NLO?

What can area be used for?

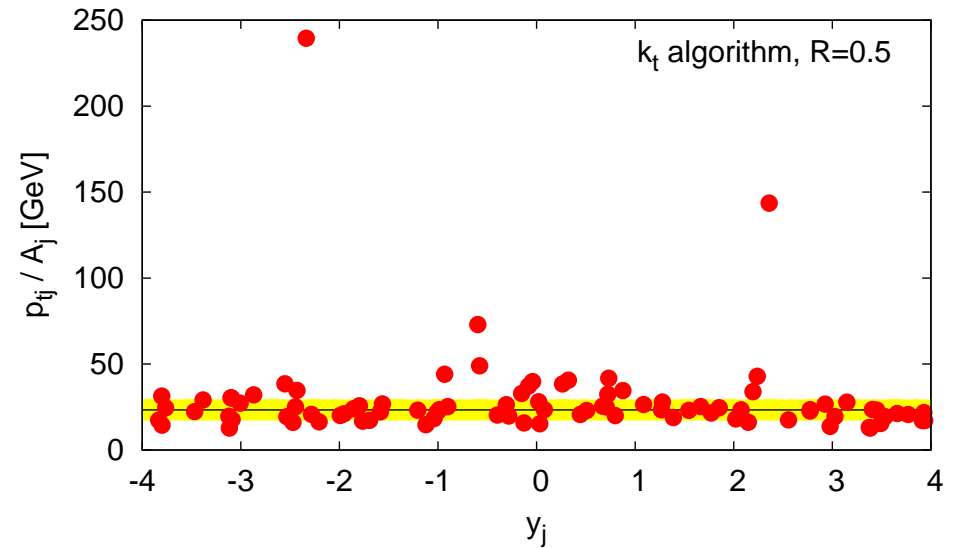
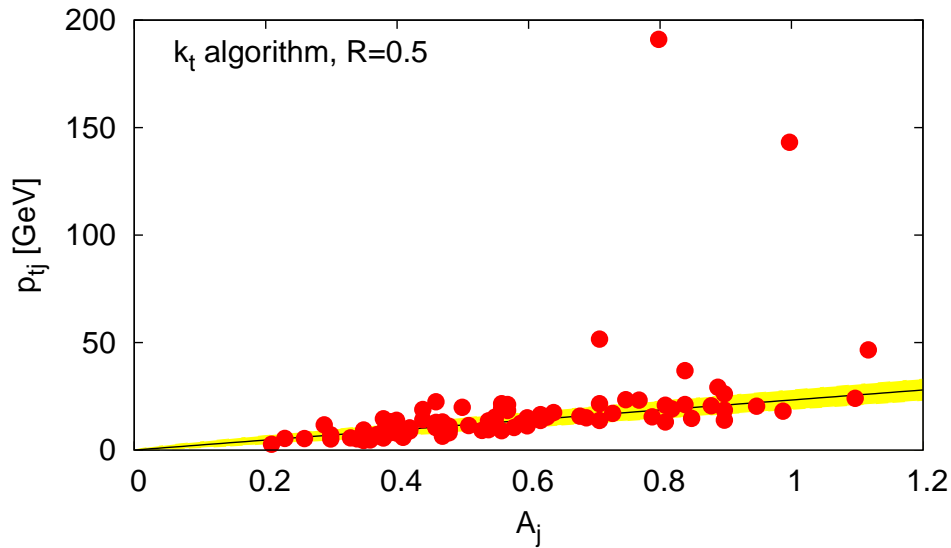
Dense event with pile-up:



● Area $\propto p_t$ of the jet

What can area be used for?

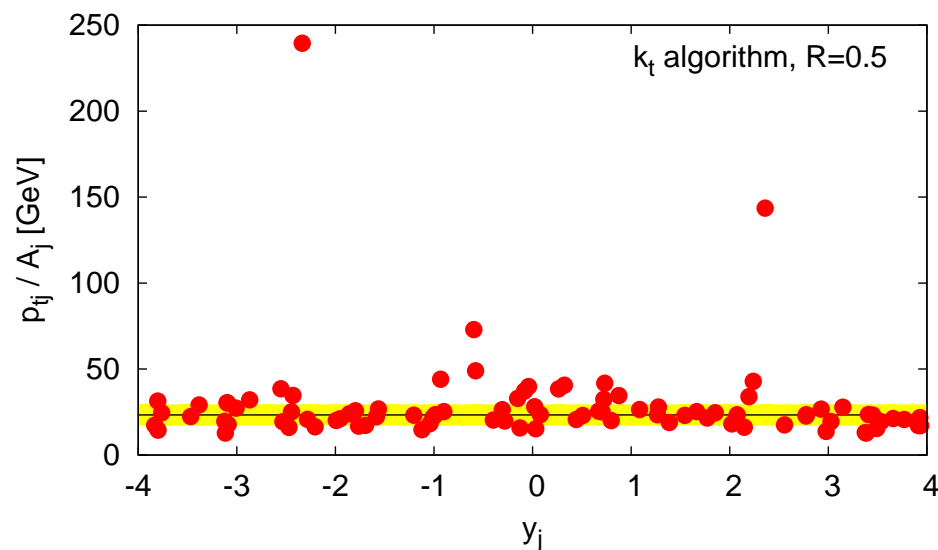
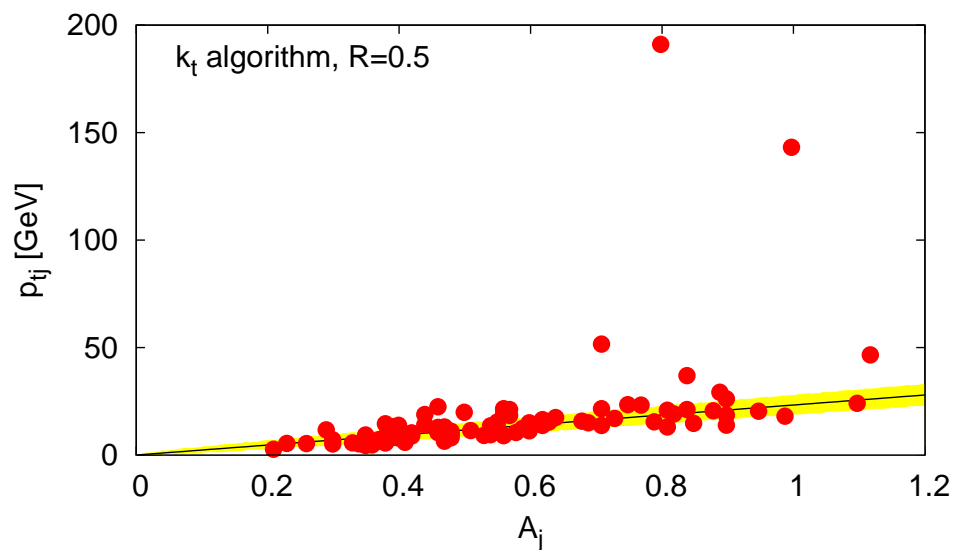
Dense event with pile-up:



- Area $\propto p_t$ of the jet
- p_t/area is constant $\rightarrow \rho = \text{median } p_t/\text{area}$

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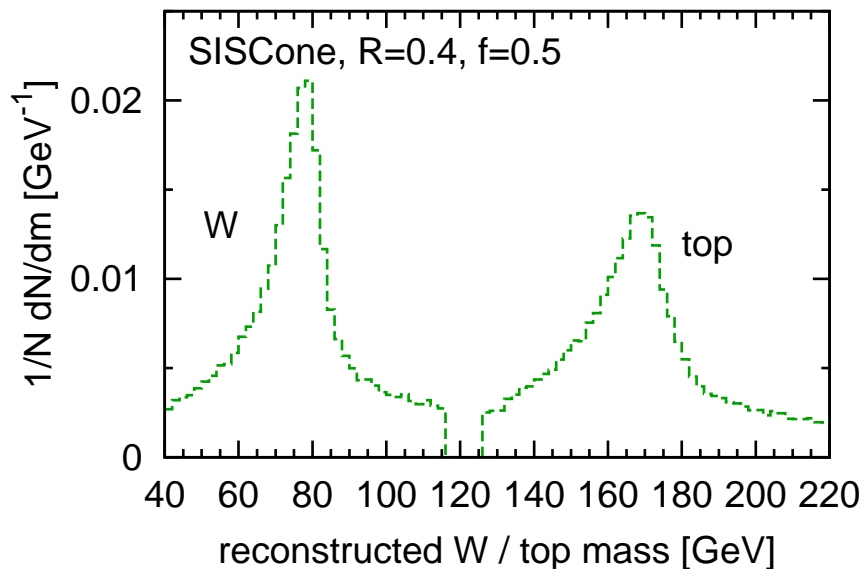
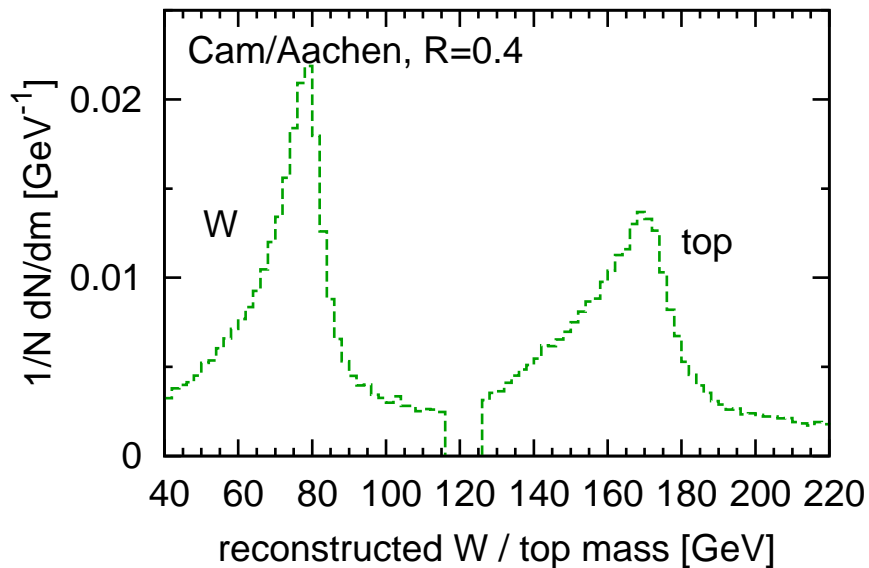
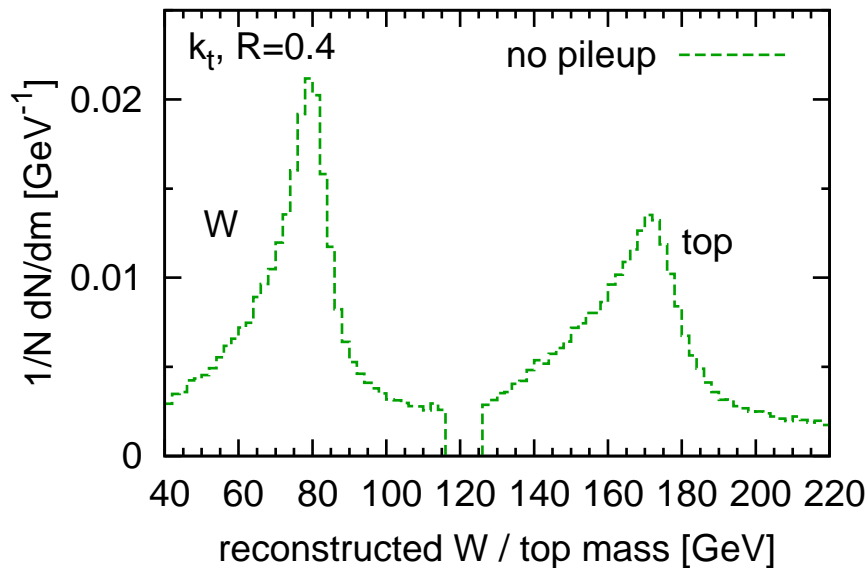
Area can be used to remove pileup pollution
e.g. by removing $\rho \cdot \text{area}$

Subtraction in action

$t\bar{t} + W$

$(t\bar{t} \rightarrow \ell^+ \nu_{\ell} b + q\bar{q}b)$

$(W \rightarrow q\bar{q})$



LHC at high lumi

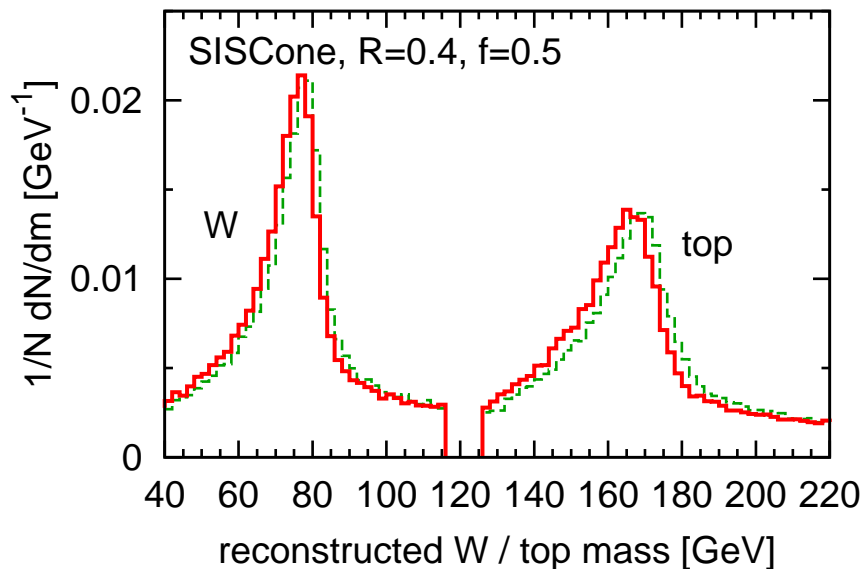
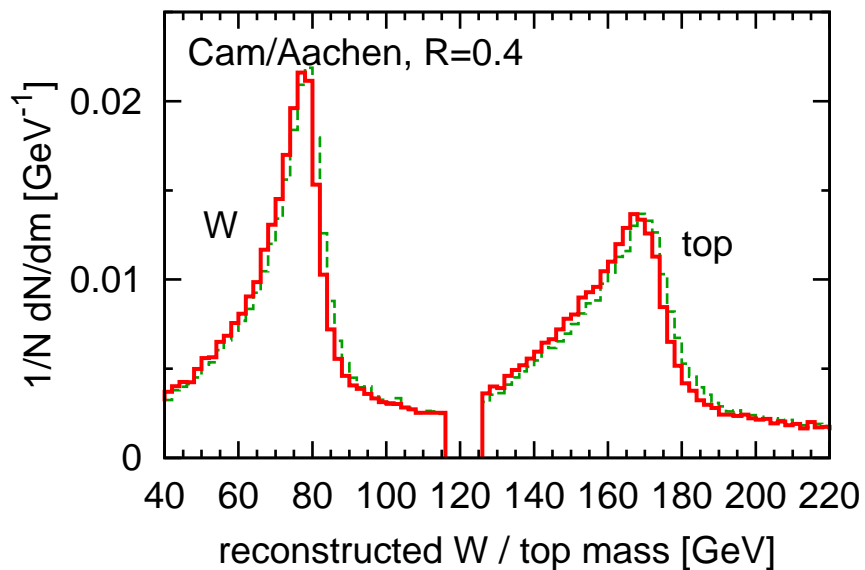
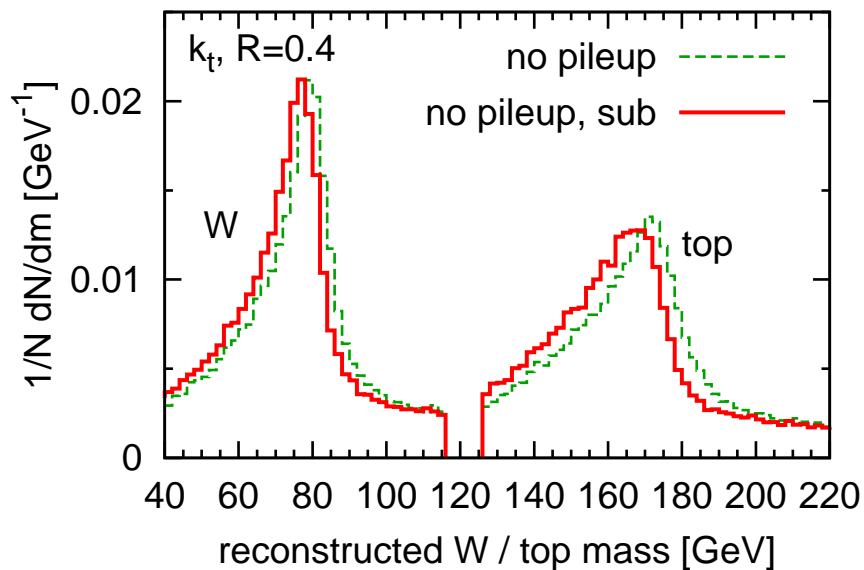
no pileup \Rightarrow good result

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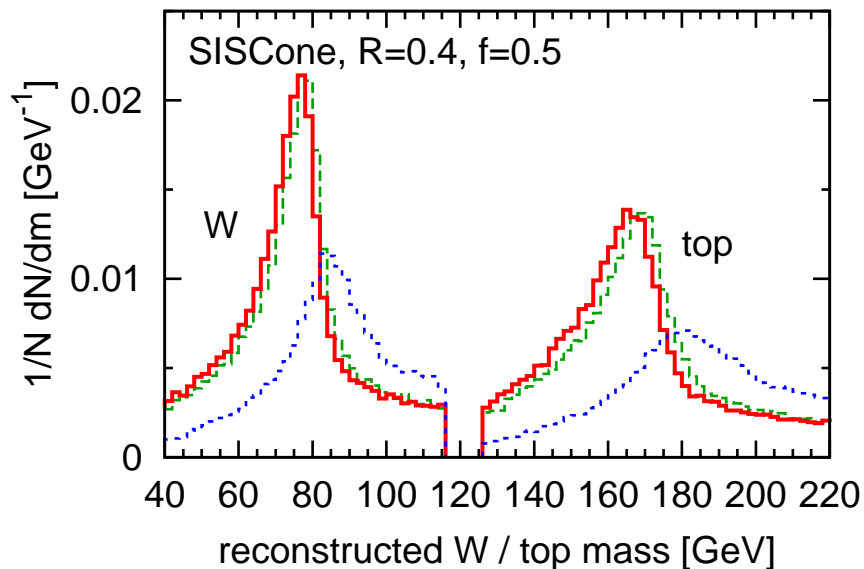
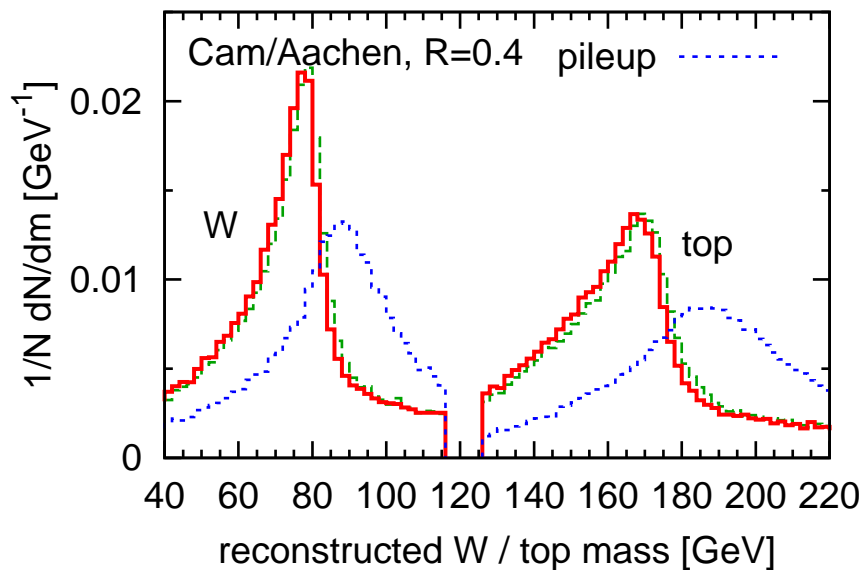
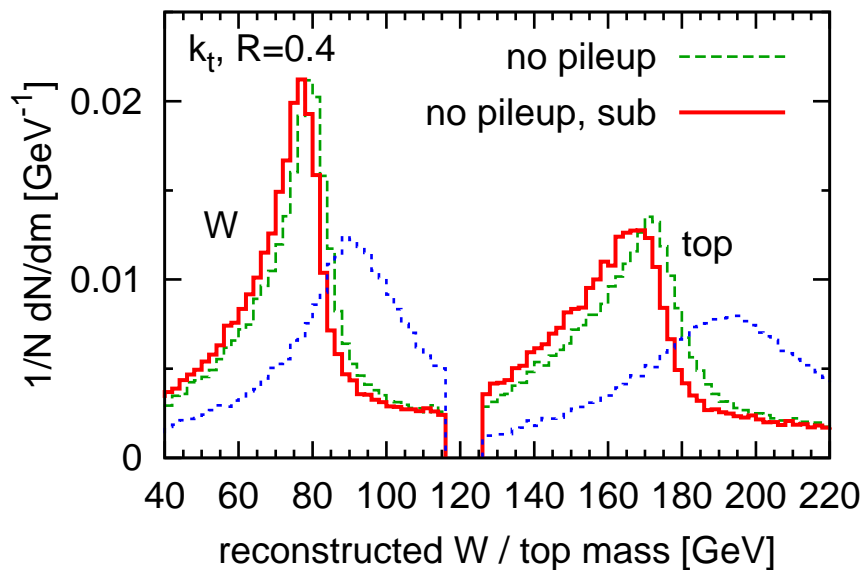
\Rightarrow no subtraction effect

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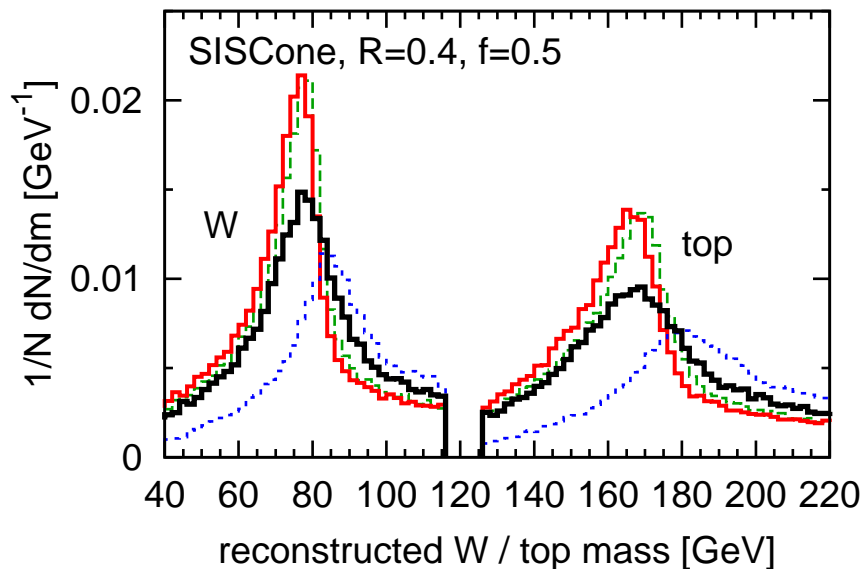
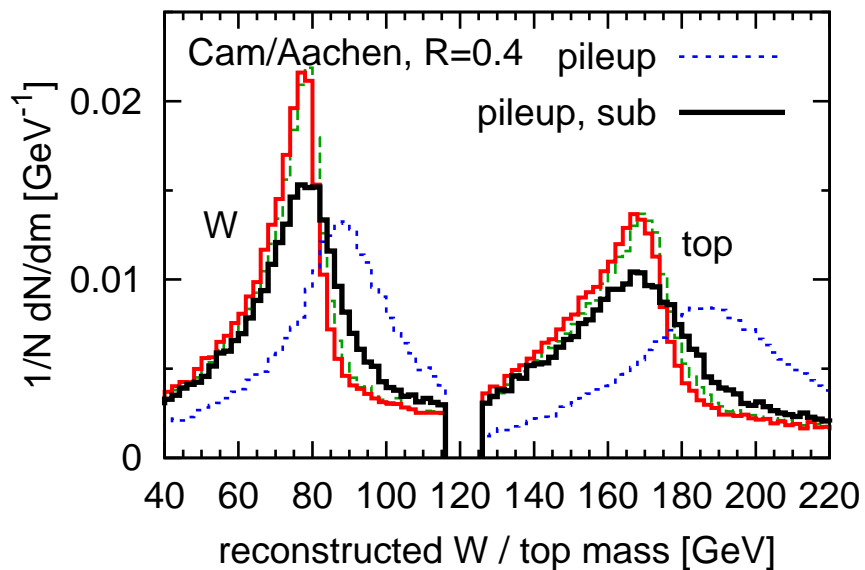
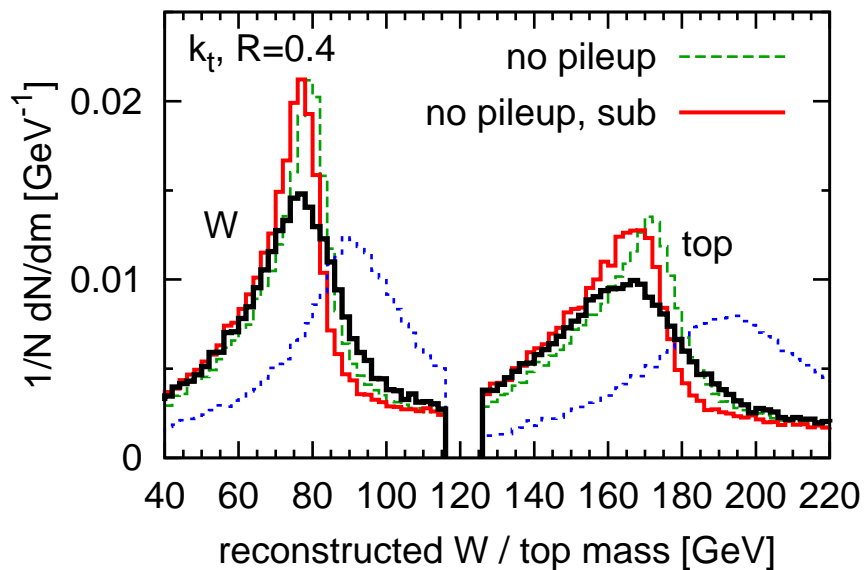
pileup \Rightarrow poor result

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LHC at high lumi

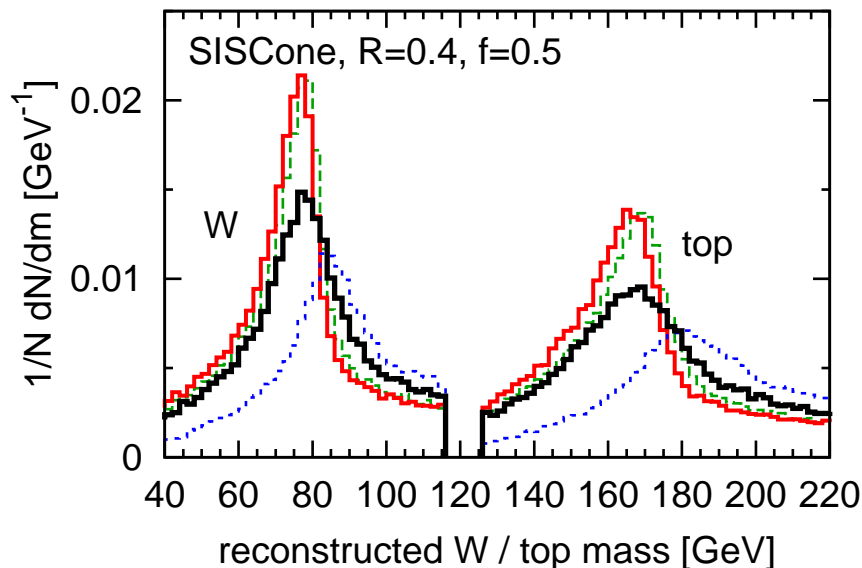
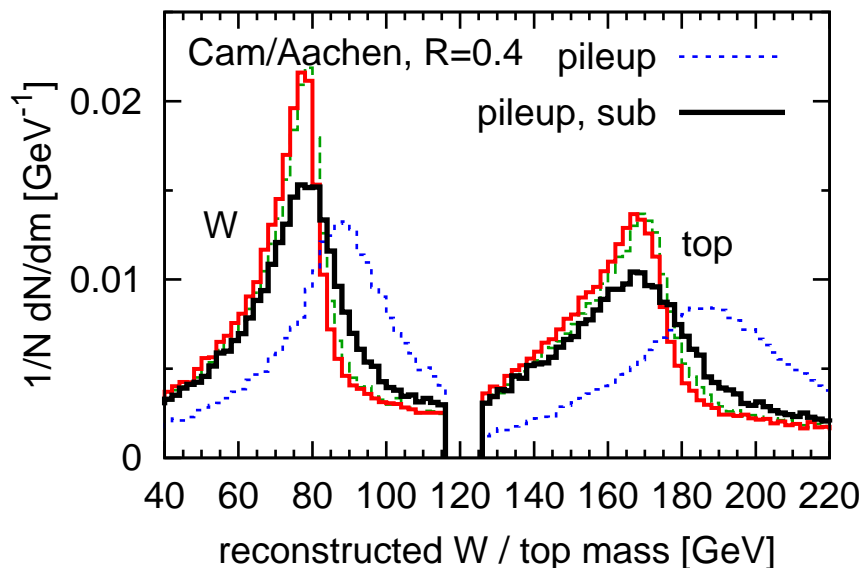
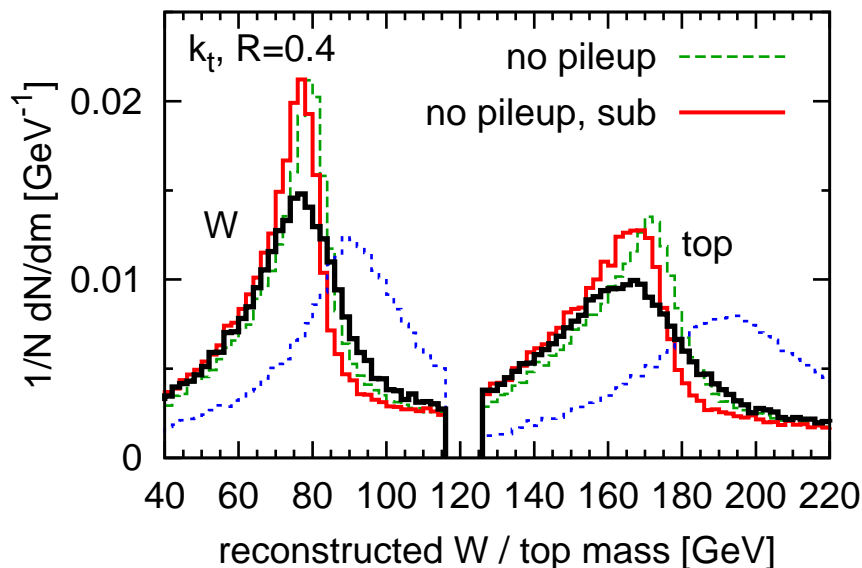
- no pileup \Rightarrow good result
- \Rightarrow no subtraction effect
- pileup \Rightarrow poor result
- \Rightarrow subtraction works

Subtraction in action

$t\bar{t} + W$

$(t\bar{t} \rightarrow \ell^+ \nu_{\ell} b + q\bar{q}b)$

$(W \rightarrow q\bar{q})$



LHC at high lumi

no pileup \Rightarrow good result

\Rightarrow no subtraction effect

pileup \Rightarrow poor result

\Rightarrow subtraction works

Background suppression in heavy ions!

- SISCone: a new cone jet algorithm
 - first to satisfy requirements of the 90's!
 - mandatory for LHC
 - Get it at <http://projects.hepforge.org/siscone>
or <http://www.lpthe.jussieu.fr/~salam/fastjet>

Conclusions

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- New concept: the area of a jet
 - active, passive and Voronoi
 - scaling violations & anomalous dimension
 - pileup effects subtraction, background subtraction in heavy ions

Conclusions and perspectives

- SISCone: a new cone jet algorithm
 - first to satisfy requirements of the 90's!
 - mandatory for LHC
 - Get it at <http://projects.hepforge.org/siscone> or <http://www.lpthe.jussieu.fr/~salam/fastjet>
- **TODO**: in-depth study of k_t /Cam vs. cone.
- **New concept: the area of a jet**
 - active, passive and Voronoi
 - scaling violations & anomalous dimension
 - pileup effects subtraction, background subtraction in heavy ions
- **TODO**:
 - anomalous dimension resummation
 - only the beginning...